



## NUCLEAR REGULATORY COMMISSION

### 10 CFR Parts 170 and 171

[NRC-2021-0024]

RIN 3150-AK58

#### Revision of Fee Schedules; Fee Recovery for Fiscal Year 2023

**AGENCY:** Nuclear Regulatory Commission.

**ACTION:** Proposed rule.

**SUMMARY:** The U.S. Nuclear Regulatory Commission (NRC) is proposing to amend the licensing, inspection, special project, and annual fees charged to its applicants and licensees. The proposed amendments are necessary to comply with the Nuclear Energy Innovation and Modernization Act, which requires the NRC to recover, to the maximum extent practicable, approximately 100 percent of its annual budget less certain amounts excluded from this fee-recovery requirement.

**DATES:** Submit comments by **[INSERT DATE 30 DAYS AFTER THE DATE OF PUBLICATION IN THE *FEDERAL REGISTER*]**. Comments received after this date will be considered if it is practical to do so, but the NRC is only able to ensure consideration for comments received before this date. Because the Nuclear Energy Innovation and Modernization Act requires the NRC to collect fees for fiscal year 2023 by September 30, 2023, the NRC must finalize any revisions to its fee schedules promptly, and thus is unable to grant any extension request of the comment period.

**ADDRESSES:** You may submit comments by any of the following methods; however, the NRC encourages electronic comment submission through the **Federal rulemaking website**:

- **Federal rulemaking website:** Go to <https://www.regulations.gov> and search for Docket ID **NRC-2021-0024**. Address questions about NRC dockets to Dawn Forder; telephone: 301-415-3407; email: [Dawn.Forder@nrc.gov](mailto:Dawn.Forder@nrc.gov). For technical

questions, contact the individual listed in the FOR FURTHER INFORMATION

CONTACT section of this proposed rule.

- **Email comments to:** [Rulemaking.Comments@nrc.gov](mailto:Rulemaking.Comments@nrc.gov). If you do not receive an automatic email reply confirming receipt, then contact us at 301-415-1677.
- **Fax comments to:** Secretary, U.S. Nuclear Regulatory Commission at 301-415-1101.
- **Mail comments to:** Secretary, U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001, ATTN: Rulemakings and Adjudications Staff.
- **Hand deliver comments to:** 11555 Rockville Pike, Rockville, Maryland 20852, between 7:30 a.m. and 4:15 p.m. (ET) Federal workdays; telephone: 301-415-1677.

For additional direction on obtaining information and submitting comments, see “Obtaining Information and Submitting Comments” in the SUPPLEMENTARY INFORMATION section of this document.

**FOR FURTHER INFORMATION CONTACT:** Anthony Rossi, Office of the Chief Financial Officer, U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001, telephone: 301-415-7341; email: [Anthony.Rossi@nrc.gov](mailto:Anthony.Rossi@nrc.gov).

#### **SUPPLEMENTARY INFORMATION:**

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#### **I. Obtaining Information and Submitting Comments**

## A. Obtaining Information

Please refer to Docket ID **NRC-2021-0024** when contacting the NRC about the availability of information for this action. You may obtain publicly-available information related to this action by any of the following methods:

- **Federal Rulemaking Website:** Go to <https://www.regulations.gov> and search for Docket ID **NRC-2021-0024**.

- **NRC's Agencywide Documents Access and Management System (ADAMS):** You may obtain publicly available documents online in the ADAMS Public Documents collection at <https://www.nrc.gov/reading-rm/adams.html>. To begin the search, select "Begin Web-based ADAMS Search." For problems with ADAMS, please contact the NRC's Public Document Room (PDR) reference staff at 1-800-397-4209 or 301-415-4737, or by email to [PDR.Resource@nrc.gov](mailto:PDR.Resource@nrc.gov). For the convenience of the reader, the ADAMS accession numbers are provided in the "Availability of Documents" section of this document.

- **NRC's PDR:** You may examine and purchase copies of public documents, by appointment, at the NRC's PDR, Room P1 B35, One White Flint North, 11555 Rockville Pike, Rockville, Maryland 20852. To make an appointment to visit the PDR, please send an email to [PDR.Resource@nrc.gov](mailto:PDR.Resource@nrc.gov) or call 1-800-397-4209 or 301-415-4737, between 8:00 a.m. and 4:00 p.m. (ET), Monday through Friday, except Federal holidays.

## B. Submitting Comments

The NRC encourages electronic submission of comments through the **Federal rulemaking website** (<https://www.regulations.gov>). Please include Docket ID **NRC-2021-0024** in your comment.

The NRC cautions you not to include identifying or contact information that you do not want to be publicly disclosed in your comment. The NRC will post all comments

at <https://www.regulations.gov> as well as enter the comments into ADAMS. The NRC does not routinely edit comments to remove identifying or contact information.

If you are requesting or aggregating comments from other persons for submission to the NRC, then you should inform those persons not to include identifying or contact information that they do not want to be publicly disclosed in their comments. Your request should state that the NRC does not routinely edit comments to remove such information before making the comments available to the public or entering the comments into ADAMS.

## **II. Background; Statutory Authority**

The NRC's fee regulations are primarily governed by two laws: 1) the Independent Offices Appropriation Act, 1952 (IOAA) (31 U.S.C. 9701), and 2) the Nuclear Energy Innovation and Modernization Act (NEIMA) (42 U.S.C. 2215). The IOAA authorizes and encourages Federal agencies to recover, to the fullest extent possible, costs attributable to services provided to identifiable recipients. Under NEIMA, the NRC must recover, to the maximum extent practicable, approximately 100 percent of its annual budget, less the budget authority for excluded activities. Under section 102(b)(1)(B) of NEIMA, "excluded activities" include any fee-relief activity as identified by the Commission, generic homeland security activities, waste incidental to reprocessing activities, Nuclear Waste Fund activities, advanced reactor regulatory infrastructure activities, Inspector General services for the Defense Nuclear Facilities Safety Board, research and development at universities in areas relevant to the NRC's mission, and a nuclear science and engineering grant program. In fiscal year (FY) 2023, the fee-relief activities identified by the Commission are consistent with prior fee rules, which are listed in Table 1—Excluded Activities.

Under NEIMA, the NRC must use its IOAA authority first to collect service fees for NRC work that provides specific benefits to identifiable recipients (such as licensing work, inspections, and special projects). The NRC's regulations in 10 CFR part 170, "Fees for Facilities, Materials, Import and Export Licenses, and Other Regulatory

Services Under the Atomic Energy Act of 1954, as Amended,” explain how the agency collects service fees from specific beneficiaries. Because the NRC’s fee recovery under the IOAA (10 CFR part 170) will not equal 100 percent of the agency’s total budget authority for the fiscal year (less the budget authority for excluded activities), the NRC also assesses “annual fees” under 10 CFR part 171, “Annual Fees for Reactor Licenses and Fuel Cycle Licenses and Materials Licenses, Including Holders of Certificates of Compliance, Registrations, and Quality Assurance Program Approvals and Government Agencies Licensed by the NRC,” to recover the remaining amount necessary to comply with NEIMA.

### **III. Discussion**

#### **FY 2023 Fee Collection—Overview**

The NRC is issuing this FY 2023 proposed fee rule based on the Consolidated Appropriations Act, 2023 (the enacted budget). The proposed fee rule reflects a total budget authority in the amount of \$927.2 million, which is an increase of \$39.5 million from FY 2022. As explained previously, certain portions of the NRC’s total budget authority for the fiscal year are excluded from NEIMA’s fee-recovery requirement under section 102(b)(1)(B) of NEIMA. Based on the FY 2023 enacted budget, these exclusions total \$137.0 million, which is an increase of \$6.0 million from FY 2022. These excluded activities consist of \$97.1 million for fee-relief activities, \$23.8 million for advanced reactor regulatory infrastructure activities, \$13.4 million for generic homeland security activities, \$1.2 million for waste incidental to reprocessing activities, and \$1.5 million for Inspector General services for the Defense Nuclear Facilities Safety Board. Table I summarizes the excluded activities for the FY 2023 proposed fee rule. The FY 2022 amounts are provided for comparison purposes.

**TABLE I—EXCLUDED ACTIVITIES**  
**[Dollars in millions]**

|                          | FY 2022<br>Final<br>Rule | FY 2023<br>Proposed<br>Rule |
|--------------------------|--------------------------|-----------------------------|
| Fee-Relief Activities:   |                          |                             |
| International activities | 25.5                     | 28.7                        |

|   |       |       |
|---|-------|-------|
| Agreement State oversight   | 11.1  | 11.9  |
| Medical isotope production infrastructure   | 3.7   | 2.6   |
| Fee exemption for nonprofit educational institutions  | 11.6  | 13.5  |
| Costs not recovered from small entities under 10 CFR 171.16(c)  | 7.4   | 8.8   |
| Regulatory support to Agreement States  | 12.1  | 14.2  |
| Generic decommissioning/reclamation activities (not related to the operating power reactors and spent fuel storage fee classes)   | 15.9  | 13.8  |
| Uranium recovery program and unregistered general licensees   | 3.0   | 2.3   |
| Potential Department of Defense remediation program Memorandum of Understanding activities  | 0.9   | 0.9   |
| Non-military radium sites   | 0.3   | 0.2   |
| Subtotal Fee-Relief Activities  | 91.5  | 97.1  |
| Activities under section 102(b)(1)(B)(ii) of NEIMA (Generic Homeland Security activities, Waste Incidental to Reprocessing activities, and the Defense Nuclear Facilities Safety Board) | 16.5  | 16.1  |
| Advanced reactor regulatory infrastructure activities   | 23.0  | 23.8  |
| Total Excluded Activities   | 131.0 | 137.0 |

After accounting for the exclusions from the fee-recovery requirement and net billing adjustments (i.e., for FY 2023 invoices that the NRC estimates will not be paid during the fiscal year, less payments received in FY 2023 for prior-year invoices), the NRC must recover approximately \$791.4 million in fees in FY 2023. Of this amount, the NRC estimates that \$195.4 million will be recovered through 10 CFR part 170 service fees and approximately \$596.0 million will be recovered through 10 CFR part 171 annual fees. Table II summarizes the fee-recovery amounts for the FY 2023 proposed fee rule using the FY 2023 enacted budget and takes into account the budget authority for excluded activities and net billing adjustments. For all information presented in the following tables in this proposed rule, individual values may not sum to totals due to rounding. Please see the work papers, available as indicated in the “Availability of Documents” section of this document, for actual amounts.

In FY 2023, the explanatory statement associated with the Consolidated Appropriations Act, 2023, includes direction for the NRC to use \$16.0 million in prior-year unobligated carryover funds for the University Nuclear Leadership Program. Consistent with the requirements of NEIMA, the NRC does not assess fees in the current fiscal year for any carryover funds because fees are calculated based on the budget authority enacted for the current fiscal year. Fees were already assessed in the

fiscal year in which the carryover funds were appropriated. The FY 2022 amounts are provided for comparison purposes.

**TABLE II—BUDGET AND FEE RECOVERY AMOUNTS**

**[Dollars in millions]**

|   | FY 2022<br>Final<br>Rule | FY 2023<br>Proposed<br>Rule |
|---|--------------------------|-----------------------------|
| Total Budget Authority  | \$887.7                  | \$927.2                     |
| Less Budget Authority for Excluded Activities:                                | -131.0                   | -137.0                      |
| Balance   | 756.7                    | 790.2                       |
| Fee Recovery Percent  | 100.0                    | 100.0                       |
| Total Amount to be Recovered:   | 756.7                    | 790.2                       |
| Less Estimated Amount to be Recovered through 10 CFR part 170 Fees            | -198.8                   | -195.4                      |
| Estimated Amount to be Recovered through 10 CFR part 171 Fees                 | 557.9                    | 594.8                       |
| 10 CFR part 171 Billing Adjustments:  |                          |                             |
| Unpaid Current Year Invoices (estimated)                                      | 2.0                      | 4.9                         |
| Less Payments Received in Current Year for Previous Year Invoices (estimated) | -6.0                     | -3.7                        |
| Adjusted 10 CFR part 171 Annual Fee Collections Required                      | 553.9                    | 596.0                       |
| Adjusted Amount to be Recovered through 10 CFR parts 170 and 171 Fees         | \$752.7                  | \$791.4                     |

**FY 2023 Fee Collection—Professional Hourly Rate**

The NRC uses a professional hourly rate to assess fees under 10 CFR part 170 for specific services it provides. The professional hourly rate also helps determine flat fees (which are used for the review of certain types of license applications). This rate is applicable to all activities for which fees are assessed under §§ 170.21 and 170.31.

The NRC's professional hourly rate is derived by adding budgeted resources for 1) mission-direct program salaries and benefits, 2) mission-indirect program support, and 3) agency support (corporate support and the Inspector General (IG)). The NRC then subtracts certain offsetting receipts and divides this total by the mission-direct full-time equivalent (FTE) converted to hours (the mission-direct FTE converted to hours is the product of the mission-direct FTE multiplied by the estimated annual mission-direct FTE productive hours). The only budgeted resources excluded from the professional

hourly rate are those for mission-direct contract resources, which are generally billed to licensees separately. The following shows the professional hourly rate calculation:

$$\text{Professional Hourly Rate} = \frac{\text{Budgeted Resources}}{\text{Mission-Direct FTE Converted to Hours}} = \frac{\$777.5 \text{ million}}{1,672.2 \times 1,551} = \$300$$

For FY 2023, the NRC is proposing to increase the professional hourly rate from \$290 to \$300. The 3.4 percent increase in the professional hourly rate is primarily due to a 4.6 percent increase in budgeted resources of approximately \$34.1 million. The increase in budgeted resources is primarily due to an increase in salaries and benefits to support Federal pay raises for NRC employees. The anticipated decline in the number of mission-direct FTE compared to FY 2022 also contributed to the proposed increase in the professional hourly rate. The professional hourly rate is inversely related to the mission-direct FTE amount; therefore, as the number of mission-direct FTE decrease, the professional hourly rate may increase. The number of mission-direct FTE is expected to decline by approximately 24, primarily due to: 1) the closure of the Palisades Nuclear Plant (Palisades); 2) a reduction in resources for development of the operating reactors licensing action infrastructure for process improvements and special projects; and 3) planned completions and budget reallocations to support the restoration of resources for Byron Station, Units 1 and 2, and Dresden Nuclear Power Station, Units 2 and 3.

The FY 2023 estimate for annual mission-direct FTE productive hours is 1,551 hours, which is an increase from 1,510 hours in FY 2022. This estimate, also referred to as the "Productive Hours Assumption," reflects the average number of hours that a mission-direct employee spends on mission-direct work in a given year. This estimate, therefore, excludes hours charged to annual leave, sick leave, holidays, training, and general administrative tasks. Table III shows the professional hourly rate calculation methodology. The FY 2022 amounts are provided for comparison purposes.



**TABLE III—PROFESSIONAL HOURLY RATE CALCULATION****[Dollars in millions, except as noted]**

|   | FY 2022<br>Final<br>Rule | FY 2023<br>Proposed<br>Rule |
|---|--------------------------|-----------------------------|
| Mission-Direct Program Salaries & Benefits  | \$349.3                  | \$359.2                     |
| Mission-Indirect Program Support  | \$115.1                  | \$118.8                     |
| Agency Support (Corporate Support and the IG)   | \$278.9                  | \$299.5                     |
| Subtotal  | \$743.3                  | \$777.5                     |
| Less Offsetting Receipts <sup>1</sup>   | \$0.0                    | \$0.0                       |
| Total Budgeted Resources Included in Professional Hourly Rate   | \$743.3                  | \$777.5                     |
| Mission-Direct FTE  | 1,696.1                  | 1,672.2                     |
| Annual Mission-Direct FTE Productive Hours (Whole numbers)  | 1,510                    | 1,551                       |
| Mission-Direct FTE Converted to Hours (Mission-Direct FTE multiplied by Annual Mission-Direct FTE Productive Hours)                                       | 2,561,111                | 2,593,582                   |
| Professional Hourly Rate (Total Budgeted Resources Included in Professional Hourly Rate Divided by Mission-Direct FTE Converted to Hours) (Whole Numbers) | \$290                    | \$300                       |

**FY 2023 Fee Collection—Flat Application Fee Changes**

The NRC proposes to amend the flat application fees it charges in its schedule of fees in § 170.31 to reflect the revised professional hourly rate of \$300. The NRC charges these fees to applicants for materials licenses and other regulatory services, as well as to holders of materials licenses. The NRC calculates these flat fees by multiplying the average professional staff hours needed to process the licensing actions by the professional hourly rate for FY 2023. As part of its calculations, the NRC analyzes the actual hours spent performing licensing actions and estimates the five-year average of professional staff hours that are needed to process licensing actions as part of its biennial review of fees. These actions are required by section 205(a) of the Chief Financial Officers Act of 1990 (31 U.S.C. 902(a)(8)). The NRC performed this review for the FY 2023 proposed rule and will perform this review again for the FY 2025 proposed

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<sup>1</sup> The fees collected by the NRC for Freedom of Information Act (FOIA) services and indemnity fees (financial protection required of all licensees for public liability claims at 10 CFR part 140) are subtracted from the budgeted resources amount when calculating the 10 CFR part 170 professional hourly rate, per the guidance in the Office of Management and Budget Circular A-25, "User Charges." The budgeted resources for FOIA activities are allocated under the product for Information Services within the Corporate Support business line. The budgeted resources for indemnity activities are allocated under the Licensing Actions and Research and Test Reactors products within the Operating Reactors business line.

rule. The biennial review adjustments and the higher professional hourly rate of \$300 is the primary reason for the increase in flat application fees (see the work papers).

In order to simplify billing, the NRC rounds these flat fees to a minimal degree. Specifically, the NRC rounds these flat fees (up or down) in such a way that ensures both convenience for its stakeholders and minimal effects due to rounding. Accordingly, fees under \$1,000 are rounded to the nearest \$10, fees between \$1,000 and \$100,000 are rounded to the nearest \$100, and fees greater than \$100,000 are rounded to the nearest \$1,000.

The proposed flat fees are applicable for certain materials licensing actions (see fee categories 1.C. through 1.D., 2.B. through 2.F., 3.A. through 3.S., 4.B. through 5.A., 6.A. through 9.D., 10.B., 15.A. through 15.L., 15.R., and 16 of § 170.31). Applications filed on or after the effective date of the FY 2023 final fee rule will be subject to the revised fees in the final rule. Since international activities are an excluded activity, fees are not assessed for import and export licensing actions under 10 CFR parts 170 and 171.

#### **FY 2023 Fee Collection—Low-Level Waste Surcharge**

The NRC proposes to assess a generic low-level waste (LLW) surcharge of \$4.023 million. Disposal of LLW occurs at commercially-operated LLW disposal facilities that are licensed by either the NRC or an Agreement State. Four existing LLW disposal facilities in the United States accept various types of LLW. All are located in Agreement States and, therefore, are regulated by an Agreement State, rather than the NRC. The NRC proposes to allocate this surcharge to its licensees based on data available in the U.S. Department of Energy's (DOE) Manifest Information Management System. This database contains information on total LLW volumes disposed of by four generator classes: academic, industrial, medical, and utility. The ratio of waste volumes disposed of by these generator classes to total LLW volumes disposed over a period of time is used to estimate the portion of this surcharge that will be allocated to the power reactors, fuel facilities, and the materials users fee classes. The materials users fee class portion

is adjusted to account for the large percentage of materials licensees that are licensed by the Agreement States rather than the NRC.

Table IV shows the allocation of the LLW surcharge and its allocation across the various fee classes.

**TABLE IV—ALLOCATION OF LLW SURCHARGE**

**FY 2023**

**[Dollars in millions]**

| Fee Classes                                    | LLW Surcharge |       |
|--|---------------|-------|
|  | Percent       | \$    |
| Operating Power Reactors                       | 88.4          | 3.556 |
| Spent Fuel Storage/Reactor Decommissioning     | 0.0           | 0.000 |
| Non-Power Production or Utilization Facilities | 0.0           | 0.000 |
| Fuel Facilities                                | 9.2           | 0.370 |
| Materials Users                                | 2.4           | 0.097 |
| Transportation                                 | 0.0           | 0.000 |
| Rare Earth Facilities                          | 0.0           | 0.000 |
| Uranium Recovery                               | 0.0           | 0.000 |
| Total  | 100.0         | 4.023 |

**FY 2023 Fee Collection—Revised Annual Fees**

In accordance with SECY-05-0164, “Annual Fee Calculation Method,” the NRC rebaselines its annual fees every year. “Rebaselining” entails analyzing the budget in detail and then allocating the FY 2023 budgeted resources to various classes or subclasses of licensees. It also includes updating the number of NRC licensees in its fee calculation methodology.

The NRC is proposing revisions to its annual fees in §§ 171.15 and 171.16 to recover approximately 100 percent of the NRC’s FY 2023 enacted budget (less the budget authority for excluded activities and the estimated amount to be recovered through 10 CFR part 170 fees).

Table V shows the proposed rebaselined fees for FY 2023 for a sample of licensee categories. The FY 2022 amounts are provided for comparison purposes.

**TABLE V—REBASELINED ANNUAL FEES**

**[Actual dollars]**

| Class/Category of Licenses  | FY 2022<br>Final<br>Annual<br>Fee | FY 2023<br>Proposed<br>Annual<br>Fee |
|---|-----------------------------------|--------------------------------------|
| Operating Power Reactors  | \$5,165,000                       | \$5,486,000                          |
| + Spent Fuel Storage/Reactor Decommissioning                            | \$227,000                         | \$267,000                            |
| Total, Combined Fee   | \$5,392,000                       | \$5,753,000                          |
| Spent Fuel Storage/Reactor Decommissioning                              | \$227,000                         | \$267,000                            |
| Non-Power Production or Utilization Facilities                          | \$90,100                          | \$98,900                             |
| High Enriched Uranium Fuel Facility (Category 1.A.(1)(a))               | \$4,334,000                       | \$5,136,000                          |
| Low Enriched Uranium Fuel Facility (Category 1.A.(1)(b))                | \$1,469,000                       | \$1,741,000                          |
| Uranium Enrichment (Category 1.E)                                       | \$1,888,000                       | \$2,238,000                          |
| UF <sub>6</sub> Conversion and Deconversion Facility (Category 2.A.(1)) | \$436,000                         | \$1,320,000                          |
| Basic <i>In Situ</i> Recovery Facilities (Category 2.A.(2)(b))          | \$42,000                          | \$49,500                             |
| Typical Users:<br>Radiographers (Category 3O)                           | \$29,600                          | \$43,700                             |
| All Other Specific Byproduct Material Licensees<br>(Category 3P)        | \$9,900                           | \$12,500                             |
| Medical Other (Category 7C)   | \$17,000                          | \$18,100                             |
| Device/Product Safety Evaluation - Broad (Category 9A)                  | \$18,100                          | \$17,600                             |

The work papers that support this proposed rule show in detail how the NRC allocates the budgeted resources for each class of licensees and calculates the fees.

Paragraphs a. through h. of this section describe the budgeted resources allocated to each class of licensees and the calculations of the rebaselined fees. For more information about detailed fee calculations for each class, please consult the accompanying work papers for this proposed rule.

a. Operating Power Reactors

The NRC proposes to collect \$510.2 million in annual fees from the operating power reactors fee class in FY 2023, as shown in Table VI. The FY 2022 operating power reactors fees are shown for comparison purposes.

**TABLE VI—ANNUAL FEE SUMMARY CALCULATIONS FOR  
OPERATING POWER REACTORS**  
[Dollars in millions]

| Summary Fee Calculations | FY 2022<br>Final<br>Rule | FY 2023<br>Proposed<br>Rule |
|--------------------------|--------------------------|-----------------------------|
| Total budgeted resources | \$645.4                  | \$665.3                     |

|   |         |         |
|---|---------|---------|
| Less estimated 10 CFR part 170 receipts | -165.8  | -160.2  |
| Net 10 CFR part 171 resources           | 479.6   | 505.1   |
| Allocated generic transportation        | 0.4     | 0.5     |
| Allocated LLW surcharge                 | 3.8     | 3.6     |
| Billing adjustment                      | -3.4    | 1.0     |
| Total required annual fee recovery      | 480.3   | 510.2   |
| Total operating reactors                | 93      | 93      |
| Annual fee per operating reactor        | \$5.165 | \$5.486 |

In comparison to FY 2022, the FY 2023 proposed annual fee for the operating power reactors fee class is increasing primarily due to the following: 1) an increase in budgeted resources; 2) a decrease in 10 CFR part 170 estimated billings; and 3) an increase in the 10 CFR part 171 billing adjustment. These components are discussed in the following paragraphs.

The budgeted resources for the operating power reactors fee class increased primarily as a result of an increase in the fully-costed FTE rate compared to FY 2022 due to an increase in salaries and benefits. The increase is offset by a decrease in the budgeted resources primarily due to a reduction in FTE for the following: 1) the closure of Palisades; 2) a reduction resources for the development of operating reactors licensing action infrastructure for process improvements and special projects; 3) a reduction in contract support resources for baseline inspections in the reactors safety program now being performed in-house; and 4) planned completions and budget reallocations to support the restoration of resources for Byron Station, Units 1 and 2, and Dresden Nuclear Power Station, Units 2 and 3.

The proposed annual fee is increasing due to a reduction in the 10 CFR part 170 estimated billings resulting from: 1) a decrease in hours associated with the closure of Palisades and 2) delays to planned new reactor design and licensing applications, topical reports, and white papers.

The proposed annual fee increase is also affected by these contributing factors: 1) an increase in the 10 CFR part 171 billing adjustment (moving from a credit to a surcharge) due to the timing of invoices issued in FY 2022, and 2) an increase in the

generic transportation surcharge due to an increase in the overall budgeted resources for certificates of compliance (CoCs) for the operating power reactors fee class.

The fee-recoverable budgeted resources, including the proposed assessment of annual fees for Vogtle Electric Generating Plant, Unit 3, are divided equally among the 93 licensed operating power reactors, resulting in an annual fee of \$5,486,000 per reactor. Additionally, each licensed operating power reactor will be assessed the FY 2023 spent fuel storage/reactor decommissioning proposed annual fee of \$267,000 (see Table VII and the discussion that follows). The combined FY 2023 proposed annual fee for each operating power reactor is \$5,753,000.

Section 102(b)(3)(B)(i) of NEIMA established a cap for the annual fees charged to operating reactor licensees; under this provision, the annual fee for an operating reactor licensee, to the maximum extent practicable, shall not exceed the annual fee amount per operating reactor licensee established in the FY 2015 final fee rule (80 FR 37432; June 30, 2015), adjusted for inflation. The NRC included an estimate of the operating power reactors fee class annual fee in Appendix C, "Estimated Operating Power Reactors Annual Fee," of the FY 2023 Congressional Budget Justification (CBJ) (NUREG-1100, Volume 38) to increase transparency for stakeholders. The NRC developed this estimate based on the staff's allocation of the FY 2023 CBJ to fee classes under 10 CFR part 170, and allocations within the operating power reactors fee class under 10 CFR part 171. The fee estimate included in the FY 2023 CBJ assumed 94 operating power reactors in FY 2023 and applied various data assumptions from the FY 2021 final fee rule. Based on these allocations and assumptions, the operating power reactor annual fee included in the FY 2023 CBJ was estimated to be \$5.2 million, approximately \$0.5 million below the FY 2015 operating power reactors annual fee amount adjusted for inflation of \$5.7 million. The assumptions made between budget formulation and the development of this proposed rule have changed; however, the FY 2023 proposed annual fee of \$5,486,000 remains below the FY 2015 operating power reactors annual fee amount, as adjusted for inflation.

In FY 2016, the NRC amended its licensing, inspection, and annual fee regulations to establish a variable annual fee structure for light-water small modular reactors (SMRs) (81 FR 32617; May 24, 2016). Under the variable annual fee structure, an SMR annual fee would be assessed as a function of its bundled licensed thermal power rating. Currently, there are no operating SMRs; therefore, the NRC will not assess an annual fee in FY 2023 for this type of licensee.

**b. Spent Fuel Storage/Reactor Decommissioning**

The NRC proposes to collect \$32.9 million in annual fees from 10 CFR part 50 and 10 CFR part 52 power reactor licensees, and from 10 CFR part 72 licensees that do not hold a 10 CFR part 50 license or a 10 CFR part 52 combined license, to recover the budgeted resources for the spent fuel storage/reactor decommissioning fee class in FY 2023, as shown in Table VII. The FY 2022 spent fuel storage/reactor decommissioning fees are shown for comparison purposes.

**TABLE VII—ANNUAL FEE SUMMARY CALCULATIONS FOR  
SPENT FUEL STORAGE/REACTOR DECOMMISSIONING  
[Dollars in millions]**

| Summary Fee Calculations                | FY 2022<br>Final<br>Rule | FY 2023<br>Proposed<br>Rule |
|---|--------------------------|-----------------------------|
| Total budgeted resources                | \$40.4                   | \$42.9                      |
| Less estimated 10 CFR part 170 receipts | -13.8                    | -11.7                       |
| Net 10 CFR part 171 resources           | 26.6                     | 31.2                        |
| Allocated generic transportation costs  | 1.3                      | 1.6                         |
| Billing adjustments                     | -0.2                     | 0.1                         |
| Total required annual fee recovery      | 27.7                     | 32.9                        |
| Total spent fuel storage facilities     | 122                      | 123                         |
| Annual fee per facility                 | \$0.227                  | \$0.267                     |

In comparison to FY 2022, the FY 2023 proposed annual fee for the spent fuel storage/reactor decommissioning fee class is increasing primarily due to the following:

1) an increase in the budgeted resources; 2) a decrease in the 10 CFR part 170 estimated billings and 3) an increase in the 10 CFR part 171 billing adjustment. These components are discussed in the following paragraphs.

The budgeted resources for the spent fuel storage/reactor decommissioning fee class increased primarily due to the following: 1) an increase in the fully-costed FTE rate compared to FY 2022 due to an increase in salaries and benefits; 2) an increase in licensing and oversight activities for one additional power reactor in decommissioning; and 3) an increased number of power reactors transitioning to accelerated decommissioning schedule status. This increase in the budgeted resources is offset by a decline in contract support due to the completion of research activities related to accident tolerant fuel (ATF), the assessment of gross ruptures in high burnup fuel, and standardized computer analysis for licensing evaluation (SCALE) code verification and validation.

The 10 CFR part 170 estimated billings for the spent fuel storage/reactor decommissioning fee class decreased primarily due to the following: 1) a reduction in hours and contract support associated with the staff's review of applications for renewals, amendments, exemptions, and inspections for independent spent fuel storage installation (ISFSI) licenses and dry cask storage CoCs; 2) the near completion of the safety and environmental review of the Holtec HI-STORE consolidated interim storage facility application; 3) the completion of the staff's review of the Interim Storage Partners consolidated interim storage facility application and issuance of the license; 4) the completion of decommissioning transition activities for the Duane Arnold Energy Center and the site entering a period of dormancy; 5) the near termination of the LaCrosse Boiling Water Reactor and preparation to release the site from NRC oversight; 6) the termination of the 10 CFR part 50 license for the Humboldt Bay Nuclear Power Plant; and 7) the decrease in decommissioning license amendment requests and inspection activities at multiple sites.

The proposed annual fee increase is also affected by these contributing factors: 1) an increase in the 10 CFR part 171 billing adjustment (moving from a credit to a surcharge) due to the timing of invoices in FY 2022, and 2) an increase in the generic



transportation surcharge due to an increase in the generic transportation budgeted resources.

The required annual fee recovery amount is divided equally among 123 licensees, resulting in a FY 2023 annual fee of \$267,000 per licensee.

c. Fuel Facilities

The NRC proposes to collect \$19.9 million in annual fees from the fuel facilities fee class in FY 2023, as shown in Table VIII. The FY 2022 fuel facilities fees are shown for comparison purposes.

**TABLE VIII—ANNUAL FEE SUMMARY CALCULATIONS FOR  
FUEL FACILITIES  
[Dollars in millions]**

| Summary Fee Calculations                     | FY 2022<br>Final<br>Rule | FY 2023<br>Proposed<br>Rule |
|--|--------------------------|-----------------------------|
| Total budgeted resources                     | \$22.4                   | \$26.6                      |
| Less estimated 10 CFR part 170 receipts      | -8.0                     | -9.0                        |
| Net 10 CFR part 171 resources                | 14.4                     | 17.6                        |
| Allocated generic transportation             | 1.7                      | 1.9                         |
| Allocated LLW surcharge                      | 0.4                      | 0.4                         |
| Billing adjustments                          | -0.1                     | 0.0                         |
| Total remaining required annual fee recovery | \$16.4                   | \$19.9                      |

In comparison to FY 2022, the FY 2023 proposed annual fee for the fuel facilities fee class is increasing primarily due to the increase in budgeted resources. This increase is offset by an increase in 10 CFR part 170 estimated billings as discussed in the following paragraphs.

The budgeted resources for the fuel facilities fee class increased primarily as a result of an increase in the fully-costed FTE rate compared to FY 2022 due to an increase in salaries and benefits. In addition, the budgeted resources increased to support the following: 1) licensing actions related to enrichment and manufacturing of high assay low-enrichment uranium fuel, advanced reactor fuel, and ATF; 2) the staff's review of two greater than critical mass (GTCM) facility license renewal applications and an application for a new GTCM facility; 3) cyber security activities; 4) restart activities for

the Honeywell International, Inc. Uranium Conversion Facility and the Centrus American Centrifuge Plant; 5) an anticipated increase in material control and accounting inspections at Category II facilities; and 6) fuel facilities rulemaking activities.

The 10 CFR part 170 estimated billings increased as a result of the following:

1) the staff's review of the Westinghouse Electric Company, LLC's license renewal application for the Columbia Fuel Fabrication Facility, which was completed in September 2022; 2) the staff's review of the Nuclear Fuel Services U-metal amendment and an inspection that was delayed due to the COVID-19 pandemic; 3) Louisiana Energy Services' transition of the Authority to Operate from DOE to the NRC; and 4) upgrades to National Institute of Standards and Technology (NIST)-800-53 Revision 5, "Security and Privacy Controls for Information Systems and Organizations." The increase in 10 CFR part 170 estimated billings is offset by a delay in the submission of X-Energy's environmental review for the TRISO-X facility.

The NRC will continue allocating annual fees to individual fuel facility licensees based on the effort/fee determination matrix developed in the FY 1999 final fee rule (64 FR 31448; June 10, 1999). To briefly recap, the matrix groups licensees within this fee class into various fee categories. The matrix lists processes that are conducted at licensed sites and assigns effort factors for the safety and safeguards activities associated with each process (these effort levels are reflected in Table IX). The annual fees are then distributed across the fee class based on the regulatory effort assigned by the matrix. The effort factors in the matrix represent regulatory effort that is not recovered through 10 CFR part 170 fees (e.g., rulemaking, guidance). Regulatory effort for activities that are subject to 10 CFR part 170 fees, such as the number of inspections, is not applicable to the effort factor.

**TABLE IX—EFFORT FACTORS FOR FUEL FACILITIES, FY 2023**

| Facility Type (fee category)            | Number of Facilities | Effort Factors |            |
|---|----------------------|----------------|------------|
|   |                      | Safety         | Safeguards |
| High-Enriched Uranium Fuel (1.A.(1)(a)) | 2                    | 88             | 91         |
| Low-Enriched Uranium Fuel (1.A.(1)(b))  | 3                    | 70             | 21         |

|   |   |    |    |
|---|---|----|----|
| Limited Operations (1.A.(2)(a))                       | 1 | 3  | 11 |
| Gas Centrifuge Enrichment Demonstration (1.A.(2)(b))  | 0 | 0  | 0  |
| Hot Cell (and others) (1.A.(2)(c))                    | 0 | 0  | 0  |
| Uranium Enrichment (1.E.)                             | 1 | 16 | 23 |
| UF <sub>6</sub> Conversion and Deconversion (2.A.(1)) | 1 | 21 | 2  |

In FY 2023, the total remaining amount of the proposed annual fees to be recovered, \$19.9 million, is attributable to safety activities, safeguards activities, and the LLW surcharge. For FY 2023, the total budgeted resources proposed to be recovered as annual fees for safety activities are approximately \$11.2 million. To calculate the annual fee, the NRC allocates this amount to each fee category based on its percentage of the total regulatory effort for safety activities. Similarly, the NRC allocates the budgeted resources to be recovered as annual fees for safeguards activities, \$8.3 million, to each fee category based on its percentage of the total regulatory effort for safeguards activities. Finally, the fuel facilities fee class portion of the LLW surcharge—\$0.4 million—is allocated to each fee category based on its percentage of the total regulatory effort for both safety and safeguards activities. The proposed annual fee per licensee is then calculated by dividing the total allocated budgeted resources for the fee category by the number of licensees in that fee category. The proposed annual fee for each facility is summarized in Table X.

**TABLE X—ANNUAL FEES FOR FUEL FACILITIES**  
**[Actual dollars]**

| Facility Type (fee category)                          | FY 2022<br>Final<br>Annual Fee | FY 2023<br>Proposed<br>Annual Fee |
|---|--------------------------------|-----------------------------------|
| High-Enriched Uranium Fuel (1.A.(1)(a))               | \$4,334,000                    | \$5,136,000                       |
| Low-Enriched Uranium Fuel (1.A.(1)(b))                | \$1,469,000                    | \$1,741,000                       |
| Facilities with limited operations (1.A.(2)(a))       | \$968,000                      | \$803,000                         |
| Gas Centrifuge Enrichment Demonstration (1.A.(2)(b))  | N/A                            | N/A                               |
| Hot Cell (and others) (1.A.(2)(c))                    | N/A                            | N/A                               |
| Uranium Enrichment (1.E.)                             | \$1,888,000                    | \$2,238,000                       |
| UF <sub>6</sub> Conversion and Deconversion (2.A.(1)) | \$436,000                      | \$1,320,000                       |

d. Uranium Recovery Facilities

The NRC proposes to collect \$0.2 million in annual fees from the uranium recovery facilities fee class in FY 2023, as shown in Table XI. The FY 2022 uranium recovery facilities fees are shown for comparison purposes.

**TABLE XI—ANNUAL FEE SUMMARY CALCULATIONS  
FOR URANIUM RECOVERY FACILITIES**

**[Dollars in millions]**

| Summary fee calculations                | FY 2022<br>Final<br>Rule | FY 2023<br>Proposed<br>Rule |
|---|--------------------------|-----------------------------|
| Total budgeted resources                | \$0.9                    | \$0.8                       |
| Less estimated 10 CFR part 170 receipts | -0.6                     | -0.6                        |
| Net 10 CFR part 171 resources           | 0.3                      | 0.2                         |
| Allocated generic transportation        | N/A                      | N/A                         |
| Billing adjustments                     | 0.0                      | 0.0                         |
| Total required annual fee recovery      | \$0.3                    | \$0.2                       |

In comparison to FY 2022, the FY 2023 proposed annual fee for the non-DOE licensee in the uranium recovery facilities fee class is increasing as a result of an increase in budgeted resources attributed to licensing reviews associated with ground water restoration activities at one licensed uranium recovery facility and two licensed, but not yet constructed, uranium recovery facilities.

The NRC regulates DOE's Title I and Title II activities under the Uranium Mill Tailings Radiation Control Act (UMTRCA).<sup>2</sup> The proposed annual fee assessed to DOE includes the resources specifically budgeted for the NRC's UMTRCA Title I and Title II activities, as well as 10 percent of the remaining budgeted resources for this fee class. The NRC described the overall methodology for determining fees for UMTRCA in the FY 2002 fee rule (67 FR 42625; June 24, 2002), and the NRC continues to use this methodology. The DOE's UMTRCA proposed annual fee is decreasing compared to FY 2022 primarily due to a decrease in budgeted resources needed to conduct generic work that staff will be performing to resolve issues associated with the transfer of NRC

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<sup>2</sup> Congress established the two programs, Title I and Title II, under UMTRCA to protect the public and the environment from hazards associated with uranium milling. The UMTRCA Title I program is for remedial action at abandoned mill tailings sites where tailings resulted largely from production of uranium for weapons programs. The NRC also regulates DOE's UMTRCA Title II program, which is directed toward uranium mill sites licensed by the NRC or Agreement States in or after 1978.

and Agreement State uranium mill tailings sites to DOE for long-term surveillance and maintenance. In addition, 10 CFR part 170 estimated billings are declining due to the anticipated workload decreases at various DOE UMTRCA sites. The NRC assesses the remaining 90 percent of its budgeted resources to the remaining licensee in this fee class, as described in the work papers, which is reflected in Table XII.

**TABLE XII—COSTS RECOVERED THROUGH ANNUAL FEES;  
URANIUM RECOVERY FACILITIES FEE CLASS**  
[Actual dollars]

| Summary of Costs:  | FY 2022<br>Final<br>Annual Fee | FY 2023<br>Proposed<br>Annual Fee |
|--|--------------------------------|-----------------------------------|
| DOE Annual Fee Amount (UMTRCA Title I and Title II)<br>General Licenses:<br>UMTRCA Title I and Title II budgeted resources less<br>10 CFR part 170 receipts  | \$206,441                      | \$113,550                         |
| 10 percent of generic/other uranium recovery<br>budgeted resources   | 4,665                          | 5,504                             |
| 10 percent of uranium recovery fee-relief adjustment   | N/A                            | N/A                               |
| Total Annual Fee Amount for DOE (rounded)  | \$211,000                      | \$119,000                         |
| Annual Fee Amount for Other Uranium Recovery<br>Licenses:<br>90 percent of generic/other uranium recovery budgeted<br>resources less the amounts specifically budgeted for<br>UMTRCA Title I and Title II activities | \$41,986                       | \$49,533                          |
| 90 percent of uranium recovery fee-relief adjustment   | N/A                            | N/A                               |
| Total Annual Fee Amount for Other Uranium Recovery<br>Licensees  | \$41,986                       | \$49,533                          |

Further, for any non-DOE licensees, the NRC will continue using a matrix to determine the effort levels associated with conducting generic regulatory actions for the different licensees in the uranium recovery facilities fee class; this is similar to the NRC's approach for fuel facilities, described previously. The matrix methodology for uranium recovery licensees first identifies the licensee categories included within this fee class (excluding DOE). These categories are conventional uranium mills and heap leach facilities, uranium *in situ* recovery (ISR) and resin ISR facilities, and mill tailings disposal facilities. The matrix identifies the types of operating activities that support and benefit these licensees, along with each activity's relative weight (see the work papers).

Currently, there is only one remaining non-DOE licensee, which is a basic ISR facility.

Table XIII displays the benefit factors for the non-DOE licensee in that fee category.

**TABLE XIII—BENEFIT FACTORS FOR URANIUM RECOVERY LICENSES**

| Fee Category   | Number of Licensees | Benefit Factor Per Licensee | Total Value | Benefit Factor Percent Total |
|--|---------------------|-----------------------------|-------------|------------------------------|
| Conventional and Heap Leach mills (2.A.(2)(a))                           | 0                   |                             |             | 0                            |
| Basic <i>In Situ</i> Recovery facilities (2.A.(2)(b))                    | 1                   | 190                         | 190         | 100                          |
| Expanded <i>In Situ</i> Recovery facilities (2.A.(2)(c))                 | 0                   |                             |             | 0                            |
| Section 11e.(2) disposal incidental to existing tailings sites (2.A.(4)) | 0                   |                             |             | 0                            |
| Total  | 1                   | 190                         | 190         | 100                          |

The FY 2023 proposed annual fee for the remaining non-DOE licensee is calculated by allocating 100 percent of the budgeted resources, as summarized in Table XIV.

**TABLE XIV—ANNUAL FEES FOR URANIUM RECOVERY LICENSEES**

(Other than DOE)

[Actual dollars]

| Facility Type (fee category)   | FY 2022 Final Annual Fee | FY 2023 Proposed Annual Fee |
|--|--------------------------|-----------------------------|
| Conventional and Heap Leach mills (2.A.(2)(a))                           | N/A                      | N/A                         |
| Basic <i>In Situ</i> Recovery facilities (2.A.(2)(b))                    | \$42,000                 | \$49,500                    |
| Expanded <i>In Situ</i> Recovery facilities (2.A.(2)(c))                 | N/A                      | N/A                         |
| Section 11e.(2) disposal incidental to existing tailings sites (2.A.(4)) | N/A                      | N/A                         |

e. Non-Power Production or Utilization Facilities

The NRC proposes to collect \$0.297 million in annual fees from the non-power production or utilization facilities fee class in FY 2023, as shown in Table XV. The FY 2022 non-power production or utilization facilities fees are shown for comparison purposes.

**TABLE XV—ANNUAL FEE SUMMARY CALCULATIONS FOR  
NON-POWER PRODUCTION OR UTILIZATION FACILITIES**  
[Dollars in millions]

| Summary Fee Calculations                                      | FY 2022<br>Final<br>Rule | FY 2023<br>Proposed<br>Rule |
|---|--------------------------|-----------------------------|
| Total budgeted resources                                      | \$6.072                  | \$5.999                     |
| Less estimated 10 CFR part 170 receipts                       | -5.804                   | -5.751                      |
| Net 10 CFR part 171 resources                                 | 0.268                    | 0.248                       |
| Allocated generic transportation                              | 0.035                    | 0.040                       |
| Billing adjustments   | -0.032                   | 0.009                       |
| Total required annual fee recovery                            | 0.270                    | 0.297                       |
| Total non-power production or utilization facilities licenses | 3                        | 3                           |
| Total annual fee per license (rounded)                        | 0.0901                   | \$0.0989                    |

In comparison to FY 2022, the FY 2023 proposed annual fee for the non-power production or utilization facilities fee class is increasing, as discussed in the following paragraphs.

In FY 2023, the budgeted resources are decreasing primarily due to the expected completion of the staff's review of the SHINE Medical technologies, LLC's (SHINE) operating license application. The decrease in the budgeted resources is offset by an increase in the fully-costed FTE rate compared to FY 2022 due to an increase in salaries and benefits.

The 10 CFR part 170 estimated billings associated with operating non-power production or utilization facilities licensees subject to annual fees are declining slightly due to less hours needed for activities associated with the special team inspection and the staff's review of a complex license amendment associated with the restart of the NIST Neutron Reactor. The 10 CFR part 170 estimated billings with respect to the medical isotope production facilities and advanced research and test reactors are remaining steady when compared with FY 2022 due to the following: 1) the staff's review of the operating license application for SHINE and construction inspection activities; 2) the staff's review of the Kairos Power, LLC's application for a permit to construct a test reactor; and 3) pre-application meetings due to the anticipated submission of several license applications.

Furthermore, the proposed annual fee is increasing as a result of an increase in the 10 CFR part 171 billing adjustment (moving from a credit to a surcharge) due to the timing of invoices issued in FY 2022.

The annual fee-recovery amount is divided equally among the three non-power production or utilization facilities licensees subject to annual fees and results in an FY 2023 proposed annual fee of \$98,900 for each licensee.

f. Rare Earth

In FY 2023, the NRC has allocated approximately \$0.3 million in budgeted resources to this fee class; however, because all the budgeted resources will be recovered through service fees assessed under 10 CFR part 170, the NRC is not proposing to assess and collect annual fees in FY 2023 for this fee class.

g. Materials Users

The NRC proposes to collect \$39.6 million in annual fees from materials users licensed under 10 CFR parts 30, 40, and 70 in FY 2023, as shown in Table XVI. The FY 2022 materials users fees are shown for comparison purposes.

**TABLE XVI—ANNUAL FEE SUMMARY CALCULATIONS FOR  
MATERIALS USERS  
[Dollars in millions]**

| Summary Fee Calculations   | FY 2022<br>Final<br>Rule | FY 2023<br>Proposed<br>Rule |
|--|--------------------------|-----------------------------|
| Total budgeted resources for licensees not regulated by Agreement States | \$34.1                   | \$38.7                      |
| Less estimated 10 CFR part 170 receipts                                  | -0.9                     | -1.2                        |
| Net 10 CFR part 171 resources  | 33.2                     | 37.5                        |
| Allocated generic transportation   | 1.7                      | 2.0                         |
| LLW surcharge  | 0.1                      | 0.1                         |
| Billing adjustments  | -0.2                     | 0.0                         |
| Total required annual fee recovery                                       | \$34.8                   | 39.6                        |

The formula for calculating 10 CFR part 171 annual fees for the various categories of materials users is described in detail in the work papers. Generally, the calculation results in a single annual fee that includes 10 CFR part 170 costs, such as



amendments, renewals, inspections, and other licensing actions specific to individual fee categories.

The total annual fee recovery of \$39.6 million for FY 2023 shown in Table XVI consists of \$30.2 million for general costs, \$9.3 million for inspection costs, and \$0.1 million for LLW costs. To equitably and fairly allocate the \$39.6 million required to be collected among approximately 2,400 diverse materials users licensees, the NRC continues to calculate the annual fees for each fee category within this class based on the 10 CFR part 170 application fees and estimated inspection costs for each fee category. Because the application fees and inspection costs are indicative of the complexity of the materials license, this approach is the methodology for allocating the generic and other regulatory costs to the diverse fee categories. This fee calculation method also considers the inspection frequency (priority), which is indicative of the safety risk and resulting regulatory costs associated with the categories of licenses.

In comparison to FY 2022, the FY 2023 proposed annual fees are increasing for 47 fee categories within the materials users fee class primarily as a result of an increase in the budgeted resources for: 1) a new decision-making tool to calculate resources for direct inspection work and support activities; 2) associated materials users rulemaking activities; and 3) an increase in the fully-costed FTE rate compared to FY 2022 due to an increase in salaries and benefits. In addition, annual fees are increasing due to the following: 1) the biennial review of licensing and inspection activities; 2) an increase in generic transportation costs for materials users; and 3) a decrease in the number of materials users licensees from FY 2022.

A constant multiplier is established to recover the total general costs (including allocated generic transportation costs) of \$30.2 million. To derive the constant multiplier, the general cost amount is divided by the sum of all fee categories (application fee plus the inspection fee divided by inspection priority) then multiplied by the number of licensees. This calculation results in a constant multiplier of 0.92 for FY 2023. The average inspection cost is the average inspection hours for each fee category multiplied

by the professional hourly rate of \$300. The inspection priority is the interval between routine inspections, expressed in years. The inspection multiplier is established in order to recover the \$9.3 million in inspection costs. To derive the inspection multiplier, the inspection costs amount is divided by the sum of all fee categories (inspection fee divided by inspection priority) then multiplied by the number of licensees. This calculation results in an inspection multiplier of 1.74 for FY 2023. The unique category costs are any special costs that the NRC has budgeted for a specific category of licenses. Please see the work papers for more detail about this classification.

The proposed annual fee being assessed to each licensee also takes into account a share of approximately \$0.1 million in LLW surcharge costs allocated to the materials users fee class (see Table IV, “Allocation of LLW Surcharge, FY 2023,” in Section III, “Discussion,” of this document). The proposed annual fee for each fee category is shown in the proposed revision to § 171.16(d).

#### h. Transportation

The NRC proposes to collect \$1.7 million in annual fees to recover generic transportation budgeted resources in FY 2023, as shown in Table XVII. The FY 2022 fees are shown for comparison purposes.

**TABLE XVII—ANNUAL FEE SUMMARY CALCULATIONS  
FOR TRANSPORTATION  
[Dollars in millions]**

| Summary Fee Calculations                | FY 2022<br>Final<br>Rule | FY 2023<br>Proposed<br>Rule |
|---|--------------------------|-----------------------------|
| Total budgeted resources                | \$10.2                   | \$11.1                      |
| Less estimated 10 CFR part 170 receipts | -3.4                     | -3.5                        |
| Net 10 CFR part 171 resources           | 6.8                      | 7.7                         |
| Less generic transportation resources   | -5.3                     | -6.0                        |
| Billing adjustments                     | 0.0                      | 0.0                         |
| Total required annual fee recovery      | \$1.5                    | \$1.7                       |

In comparison to FY 2022, the FY 2023 proposed annual fee for the transportation fee class is increasing primarily due to an increase in the budgeted resources offset by: 1) an increase in the 10 CFR part 170 estimated billings and

2) generic transportation resources allocated to other fee classes as discussed in the following paragraphs.

In FY 2023, the budgeted resources increased primarily due to: 1) an increase in the fully-costed FTE rate compared to FY 2022 due to an increase in salaries and benefits; 2) maintenance for the storage and transportation information management system; and 3) environmental and licensing reviews of transportation packages for ATF, other advanced reactors fuels, and micro-reactors. This increase is offset by a decrease in budgeted resources associated with rulemaking activities.

The increase in the proposed annual fee is offset by an increase in 10 CFR part 170 estimated billings related to the review of new and amended packages and generic transportation resources allocated to respective other fee classes due to a rise in the number of CoCs.

Consistent with the policy established in the NRC's FY 2006 final fee rule (71 FR 30721; May 30, 2006), the NRC recovers generic transportation costs unrelated to DOE by including those costs in the annual fees for licensee fee classes. The NRC continues to assess a separate annual fee under § 171.16, fee category 18.A., for DOE transportation activities. The amount of the allocated generic resources is calculated by multiplying the percentage of total CoCs used by each fee class (and DOE) by the total generic transportation resources to be recovered.

This resource distribution to the licensee fee classes and DOE is shown in Table XVIII. Note that for the non-power production or utilization facilities fee class, the NRC allocates the distribution to only those licensees that are subject to annual fees. Although five CoCs benefit the entire non-power production or utilization facilities fee class, only three out of 30 operating non-power production or utilization facilities licensees are subject to annual fees. Consequently, the number of CoCs used to determine the proportion of generic transportation resources allocated to annual fees for the non-power production or utilization facilities fee class has been adjusted to 0.5 so

these licensees are charged a fair and equitable portion of the total fees (see the work papers).

**TABLE XVIII—DISTRIBUTION OF TRANSPORTATION RESOURCES,  
FY 2023  
[Dollars in millions]**

| Licensee Fee Class/DOE                            | Number of<br>CoCs<br>Benefiting<br>Fee Class or<br>DOE | Percentage<br>of Total<br>CoCs | Allocated<br>Generic<br>Transportation<br>Resources |
|---|--|--------------------------------|---|
| Materials Users                                   | 24.0   | 25.7                           | \$2.0   |
| Operating Power Reactors                          | 6.0  | 6.4                            | \$0.5   |
| Spent Fuel Storage/Reactor<br>Decommissioning     | 19.0   | 20.3                           | \$1.6   |
| Non-Power Production or Utilization<br>Facilities | 0.5  | 0.5                            | \$0.0   |
| Fuel Facilities                                   | 23.0   | 24.6                           | \$1.9   |
| Sub-Total of Generic Transportation<br>Resources  | 72.5   | 77.5                           | \$6.0   |
| DOE   | 21.0   | 22.5                           | \$1.7   |
| Total   | 93.5   | 100.0                          | \$7.7   |

The NRC assesses an annual fee to DOE based on the 10 CFR part 71 CoCs it holds. The NRC, therefore, does not allocate these DOE-related resources to other licensees' annual fees because these resources specifically support DOE.

#### **FY 2023—Policy Change**

The NRC proposes one policy change for FY 2023.

#### **Expand § 171.15 to be technology-inclusive and create an additional minimum fee and variable rate**

The NRC proposes to amend § 171.15, “Annual fees: Non-power production or utilization licenses, reactor licenses, and independent spent fuel storage licenses,” to 1) expand the applicability of the small modular reactor (SMR) variable fee structure to include non-light water reactor (non-LWR) SMRs and 2) establish an additional minimum fee and variable rate applicable to SMRs with a licensed thermal power rating of less than or equal to 250 megawatts-thermal (MWt). The NRC proposes these changes to be technology inclusive and establish a fair and equitable approach for assessing annual

fees to these SMRs. In addition, there is the potential for a reduced regulatory effort (and cost) for the smallest proposed SMRs since these types of facilities are considerably smaller in size than the current fleet of operating power reactors, and the level of oversight could be comparable to facilities in the non-power production or utilization facilities fee class. The proposed revision retains the bundled unit concept for SMRs and the approach for calculating fees for reactors with licensed thermal power ratings greater than 250 MWt. For the purpose of calculating NRC fees, an SMR is defined in §§ 170.3 and 171.5, "Definitions," as a power reactor with a licensed thermal power rating of 1,000 megawatts-thermal (MWt) or less. The rating is based on an electrical power generating capacity of 300 megawatts-electric or less per module. This definition currently applies only to light-water reactors (LWRs). The proposed rule provides for a non-LWR SMR's annual fee to be calculated the same as for a LWR SMR, as a function of its licensed thermal power rating. In addition to the proposed amendments to § 171.15, the NRC is also proposing to make conforming changes to the relevant definitions in §§ 170.3 and 171.5.

In 2016, the NRC published the final rule, "Variable Annual Fee Structure for Small Modular Reactors" (SMR rule). The current SMR provisions in § 171.15 were the direct result of a multi-year agencywide effort with extensive stakeholder engagement. The goal of the effort was to address NRC staff and industry concerns that there may be inequities if SMR licensees were charged the same annual fee as the current fleet of operating power reactors, which have larger thermal power levels and electrical generating capacity. The SMR rule was limited to LWR SMRs but left open the possibility of future inclusion of non-LWR SMRs. The NRC stated in the final rule that, "[T]he light-water SMR designs that have been discussed with the NRC in pre-application discussions to date are similar to the current U.S. operating fleet of reactors in terms of physical configuration, operational characteristics, and applicability to the NRC's existing regulatory framework. The NRC may consider the inclusion of non-light

water SMRs in a future rulemaking once the agency has increased understanding of these factors with respect to non-light water designs” (81 FR 32625; May 24, 2016).

After issuing the SMR rule, the NRC continued to engage with industry, other Federal agencies, the international community, and other interested stakeholders to develop a knowledge base and understanding of the characteristics and proposed designs of non-LWR SMRs. The NRC conducted public meetings with stakeholders to share information and discuss topics related to the development and licensing of non-LWRs and participated in preapplication activities with several applicants. During these public meetings, the NRC staff discussed various possible approaches to assessing annual fees for non-LWR SMRs. Stakeholders recommended that the NRC consider lower fees for non-LWR SMRs and requested the NRC proceed with rulemaking expeditiously. In developing a proposed approach to assess annual fees to future non-LWR SMRs, the NRC considered stakeholder input from these public meetings and analyzed a position paper from the Nuclear Energy Institute (NEI), “NEI Input on NRC Annual Fee Assessment for Non-Light Water Reactors.”

The NRC is in the process of conducting pre-application reviews for several LWR and non-LWR commercial SMR designs, but no applications for SMRs have been submitted for operating licenses under 10 CFR part 50, “Domestic Licensing of Production and Utilization Facilities,” or combined licenses under 10 CFR part 52, “Licenses, Certifications, and Approvals for Nuclear Power Plants.” Under the current regulatory framework, it will be several years before a new SMR is ready, if approved, to begin commercial operation and be subject to annual fees pursuant to 10 CFR part 171. However, industry representatives and stakeholders have requested prompt NRC action to establish an annual fee policy for non-LWR SMRs, including microreactors, in order to inform business decisions and to provide regulatory predictability.

Commercial power reactors that are less than or equal to 20 MWt are considerably smaller in size than the current fleet of operating power reactors; the NRC anticipates that the level of oversight could be comparable to facilities in the non-power

production or utilization facilities fee class. This position aligns with the approach presented in two rulemaking packages before the Commission, including SECY-22-0072, "Alternative Physical Security Requirements for Advanced Reactors (RIN 3150-AK19)," dated August 15, 2022, and SECY-22-0001, "Final Rule: Emergency Preparedness for Small Modular Reactors and Other New Technologies (RIN 3150-AJ68; NRC-2015-0225)," dated January 18, 2022, which would allow a future non-LWR SMR facility to have comparable security and emergency preparedness to a non-power production or utilization facility. In addition, non-LWR SMRs that are less than 20 MWt may not require resident inspectors, similar to the non-power production or utilization facilities fee class oversight program.

As a result of this multi-year effort, the NRC is proposing to amend § 171.15 to be technology inclusive by expanding applicability to non-LWR SMRs. Additionally, the NRC is proposing changes to the minimum fees and the variable annual fee scale for SMRs that have a licensed thermal power rating of less than or equal to 250 MWt in order to fairly and equitably assess annual fees for those SMRs. The new minimum fee would be equal to the lowest annual fee that is assessed to the non-power production or utilization facility fee class and would be the only annual fee assessed for an SMR or for bundled units with a combined licensed thermal power rating per site that is less than or equal to 20 MWt. This proposed change also would create a new variable annual fee for an SMR or for bundled units with a combined licensed thermal power rating per site greater than 20 MWt but less than or equal to 250 MWt that would be added to the minimum fee (the non-power production or utilization facilities fee class annual fee). This approach would provide for a gradual increase in the annual fee as the licensed thermal power rating increases. The minimum fee currently included in § 171.15, which is equal to the average of the spent fuel storage/reactor decommissioning and non-power production or utilization facilities fee classes annual fees, would be retained as a component of the annual fee with an added variable fee assessed for an SMR or for bundled units with a combined licensed thermal power rating per site greater than 250

MWt but less than or equal to 2,000 MWt. Three different variable fees would be assessed: 1) a new variable fee assessed for power reactors with a licensed thermal power rating greater than 20 MWt but less than or equal to 250 MWt; 2) the existing variable fee assessed for power reactors with a licensed thermal power rating greater than 250 MWt but less than or equal to 2,000 MWt; and 3) for bundled units added above 4,500 MWt, the maximum fee (equal to the annual fee for the operating power reactor fee class) plus a variable fee would be assessed for the incremental licensed thermal power rating greater than 4,500 MWt up to 6,500 MWt (another 2,000 MWt range) which constitutes an additional bundled unit. This pattern for assessed fees would continue as licensed thermal power rating capacity is added. The new variable fee provides for a gradual increase in fees for power reactors above 20 MWt but less than equal to 250 MWt rather than an abrupt increase to the higher minimum fee once an increment above 20 MWt is reached.

Without the proposed changes to § 171.15, a non-LWR SMR, regardless of size, would be required to pay the same annual fee as the operating power reactor fee class under the NRC's current annual fee structure. NEIMA requires that 10 CFR part 171 annual fees be assessed in a fair and equitable manner and, to the maximum extent practicable, be reasonably related to the cost of providing regulatory services. NEIMA provides that annual fees may be based on the allocation of resources of the Commission among licensees or certificate holders or classes of licensees or certificate holders. The differences between SMRs and the existing operating power reactor fleet will result in significant differences in the anticipated regulatory cost, thus applying the current fee structure to non-LWR SMRs could be inconsistent with NEIMA requirements that the NRC's fees be fairly and equitably allocated among its licensees.

The NRC finds the proposed policy change to be reasonable, fair, and equitable. Pursuant to § 171.15, annual fees for power reactors licensed under 10 CFR part 50 or a combined license under 10 CFR part 52, including an SMR licensee, will not commence until the licensee has notified the NRC in writing of the successful completion of power



ascension testing. The NRC does not expect to license a non-LWR SMR facility for operation that would be assessed annual fees under 10 CFR part 171 for several years. However, the NRC is proposing this policy change, well before operation, to promote regulatory consistency and transparency, as well as to provide potential non-LWR SMR applicants, the industry, and the public with notice and opportunity to comment on the methodology that will be used to calculate 10 CFR part 171 annual fees for future licensed facilities. Furthermore, the NRC's view is that this policy change addresses potential inconsistencies in the current 10 CFR part 171 annual fee structure for future non-LWR SMRs. This proposed policy change will assist industry in planning and budgeting for future annual fees and will continue to provide a clear method for allocating NRC generic expenses to its operating power reactor licensees. Because the annual regulatory cost associated with LWR and non-LWR SMRs is inherently uncertain before such a licensed facility is operational, the NRC intends to re-evaluate the variable annual fee structure at the appropriate time to ensure consistency with NEIMA. This re-evaluation will occur once SMR facilities become operational and sufficient regulatory cost data becomes available. Operational experience data should provide insights that will identify the correlation between design features and the level of NRC oversight typically needed for these new types of power plants; and provide data on whether further annual fee adjustments for SMRs may be needed. As cost data and operating experience for LWR and non-LWR SMRs are accumulated, the NRC will propose adjustments to fees as needed to make sure that the fees assessed to LWR and non-LWR SMRs (and to all operating power reactors) are commensurate with the regulatory support services provided by the NRC to meet the requirements of NEIMA.

#### **FY 2023—Administrative Changes**

The NRC is proposing three administrative changes in FY 2023:

1. Amend Table 1 in § 170.31 and Table 2 in § 171.16 to add Program Code 21131 to fee category 1(A)(2)(c).

On February 1, 2022, staff in the Office of Nuclear Material Safety and Safeguards added Program Code 21131, “Medical Isotopes Production Facility Licensed Under 10 part 70,” to fee category 1(A)(2)(c). This program code was created in preparation for future license applications that the NRC anticipates will be submitted for medical isotopes production facilities under 10 CFR part 70, “Domestic Licensing of Special Nuclear Material.” The NRC is proposing to amend Table 1 in § 170.31, “Schedule of fees for materials licenses and other regulatory services, including inspections, and import and export licenses,” and Table 2 in § 171.16, “Annual fees: Materials licensees, holders of certificates of compliance, holders of sealed source and device registrations, holders of quality assurance program approvals, and government agencies licensed by the NRC,” to add Program Code 21131 to fee category 1(A)(2)(c), as the program code is used as the basis for assessing 10 CFR part 170 service fees at full cost and a future annual fee under 10 CFR part 171.

2. Amend § 170.12(f), “Method of payment,” by clarifying the types of payments and payment method.

The NRC proposes to amend § 170.12(f), “Method of payment,” to add new payment method options (Amazon Pay and PayPal) now available via [www.Pay.gov](http://www.Pay.gov). The NRC is also proposing to remove the requirement for payment of invoices of \$5,000 or more be made via the Automated Clearing House (ACH) through the NRC’s Lockbox Bank. The NRC encourages applicants and licensees to use the electronic payment options for fee submittal.

3. Change Small Entity Fees.

In developing this proposed rule, the NRC has conducted a biennial review of small entity fees to determine whether the NRC should change those fees. The NRC used the fee methodology developed in FY 2009 to perform this biennial review (74 FR 27641; June 10, 2009). Based on this methodology and as a result of the biennial review, the NRC is increasing the upper tier small entity fee from \$4,900 to \$5,200, which constitutes an increase of approximately 6 percent. The lower tier small entity fee

is not increasing and will remain at \$1,000. The NRC believes these fees are reasonable and provide relief to small entities, while at the same time recovering from those licensees some of the NRC's costs for activities that benefit them.

#### **IV. Regulatory Flexibility Certification**

As required by the Regulatory Flexibility Act of 1980, as amended (RFA),<sup>3</sup> the NRC has prepared a regulatory flexibility analysis related to this proposed rule. The regulatory flexibility analysis is available as indicated in the "Availability of Documents" section of this document.

#### **V. Regulatory Analysis**

Under NEIMA, the NRC is required to recover, to the maximum extent practicable, approximately 100 percent of its annual budget for FY 2023 less the budget authority for excluded activities. The NRC established fee methodology guidelines for 10 CFR part 170 in 1978 and established additional fee methodology guidelines for 10 CFR part 171 in 1986. In subsequent rulemakings, the NRC has adjusted its fees without changing the underlying principles of its fee policy to ensure that the NRC continues to comply with the statutory requirements for cost recovery.

In this proposed rule, the NRC continues this longstanding approach. Therefore, the NRC did not identify any alternatives to the current fee structure guidelines and did not prepare a regulatory analysis for this proposed rule.

#### **VI. Backfitting and Issue Finality**

The NRC has determined that the backfit and issue finality provisions,, §§ 50.109, "Backfitting"; 52.39, "Finality of early site permit determinations"; 52.63, "Finality of standard design certifications"; 52.83, "Finality of referenced NRC approvals; partial initial decision on site suitability"; 52.98, "Finality of combined licenses; information requests"; 52.145, "Finality of standard design approvals; information requests"; 52.171, "Finality of manufacturing licenses; information requests"; and 70.76, "Backfitting," do not apply to this proposed rule and that a backfit analysis is not required

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<sup>4</sup> 5 U.S.C. 603. The RFA, 5 U.S.C. 601–612, has been amended by the Small Business Regulatory Enforcement Fairness Act of 1996, Public Law 104–121, Title II, 110 Stat. 847 (1996).

because these amendments do not require the modification of, or addition to, 1) systems, structures, components, or the design of a facility; 2) the design approval or manufacturing license for a facility; or 3) the procedures or organization required to design, construct, or operate a facility.

## **VII. Plain Writing**

The Plain Writing Act of 2010 (Pub. L. 111-274) requires Federal agencies to write documents in a clear, concise, and well-organized manner. The NRC wrote this document to be consistent with the Plain Writing Act, as well as the Presidential Memorandum, "Plain Language in Government Writing," published June 10, 1998 (63 FR 31885). The NRC requests comment on this document with respect to the clarity and effectiveness of the language used.

## **VIII. National Environmental Policy Act**

The NRC has determined that this proposed rule is the type of action described in § 51.22(c)(1). Therefore, neither an environmental impact statement nor environmental assessment has been prepared for this proposed rule.

## **IX. Paperwork Reduction Act**

This proposed rule does not contain any new or amended collections of information subject to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501, et seq.). Existing collections of information were approved by the Office of Management and Budget, approval number 3150-0190.

## **Public Protection Notification**

The NRC may not conduct or sponsor, and a person is not required to respond to, a collection of information unless the document requesting or requiring the collection displays a currently valid OMB control number.

## **X. Voluntary Consensus Standards**

The National Technology Transfer and Advancement Act of 1995, Pub. L. 104-113, requires that Federal agencies use technical standards that are developed or adopted by voluntary consensus standards bodies unless the use of such a standard is

inconsistent with applicable law or otherwise impractical. In this proposed rule, the NRC proposes to amend the licensing, inspection, and annual fees charged to its licensees and applicants, as necessary, to recover, to the maximum extent practicable, approximately 100 percent of its annual budget for FY 2023 less the budget authority for excluded activities, as required by NEIMA. This action does not constitute the establishment of a standard that contains generally applicable requirements.

### **XI. Availability of Guidance**

The Small Business Regulatory Enforcement Fairness Act requires all Federal agencies to prepare a written compliance guide for each rule for which the agency is required by 5 U.S.C. 604 to prepare a regulatory flexibility analysis. The NRC, in compliance with the law, prepared the “Small Entity Compliance Guide” for the FY 2023 fee rule. The compliance guide was developed when the NRC completed the small entity biennial review. This compliance guide is available as indicated in the “Availability of Documents” section of this document.

### **XII. Public Meeting**

The NRC will conduct a public meeting to describe the FY 2023 proposed rule and answer questions from the public on the proposed rule. The NRC will publish a notice of the location, time, and agenda of the meeting on the NRC’s public meeting website within 10 calendar days of the meeting. Stakeholders should monitor the NRC’s public meeting website for information about the public meeting at:

<http://www.nrc.gov/public-involve/public-meetings/index.cfm>.

### **XIII. Availability of Documents**

The documents identified in the following table are available to interested persons through one or more of the following methods, as indicated.

| <b>DOCUMENTS</b>                  | <b>ADAMS ACCESSION NO. / FR CITATION / WEB LINK</b>   |
|-----------------------------------|---|
| FY 2023 Proposed Rule Work Papers | ML23040A277   |
| OMB Circular A–25, “User Charges” | <a href="https://www.whitehouse.gov/wp-content/uploads/2017/11/Circular-025.pdf">https://www.whitehouse.gov/wp-content/uploads/2017/11/Circular-025.pdf</a> |

|  |             |
|--|-------------|
| SECY-05-0164, "Annual Fee Calculation Method," dated September 15, 2005  | ML052580332 |
| "Revision of Fee Schedules; Fee Recovery for Fiscal Year 2015," dated June 30, 2015  | 80 FR 37432 |
| NUREG-1100, Volume 38, "Congressional Budget Justification: Fiscal Year 2023" (April 2022)   | ML22089A188 |
| "Variable Annual Fee Structure for Small Modular Reactors," dated May 24, 2016   | 81 FR 32617 |
| Revision of Fee Schedules; Fee Recovery for FY 2002," dated June 24, 2002  | 67 FR 42611 |
| "Revision of Fee Schedules; Fee Recovery for FY 2006," dated May 30, 2006  | 71 FR 30721 |
| "Revision of Fee Schedules; Fee Recovery for FY 2009," dated June 10, 2009   | 74 FR 27641 |
| SECY-22-0072, "Proposed Rule: Alternative Physical Security Requirements for Advanced Reactors (RIN 3150-AK19)," dated August 2, 2022                          | ML21334A004 |
| SECY-22-0001, "Final Rule: Emergency Preparedness for Small Modular Reactors and Other New Technologies (RIN 3150-AJ68; NRC-2015-0225)," dated January 3, 2022 | ML21200A059 |
| "NEI Input on NRC Annual Fee Assessment for Non-Light Water Reactors," dated November 23, 2020   | ML20328A173 |
| FY 2023 Regulatory Flexibility Analysis  | ML22347A251 |
| FY 2023 U.S. Nuclear Regulatory Commission Small Entity Compliance Guide   | ML22347A247 |

## List of Subjects

### 10 CFR Part 170

Byproduct material, Import and export licenses, Intergovernmental relations, Non-payment penalties, Nuclear energy, Nuclear materials, Nuclear power plants and reactors, Source material, Special nuclear material.

### 10 CFR Part 171

Annual charges, Approvals, Byproduct material, Holders of certificates, Intergovernmental relations, Nonpayment penalties, Nuclear materials, Nuclear power plants and reactors, Registrations, Source material, Special nuclear material.

For the reasons set out in the preamble and under the authority of the Atomic Energy Act of 1954, as amended; the Energy Reorganization Act of 1974, as amended; and 5 U.S.C. 552 and 553, the NRC is proposing to amend 10 CFR parts 170 and 171 as follows:

**PART 170—FEES FOR FACILITIES, MATERIALS, IMPORT AND EXPORT  
LICENSES, AND OTHER REGULATORY SERVICES UNDER THE ATOMIC ENERGY  
ACT OF 1954, AS AMENDED**

1. The authority citation for part 170 continues to read as follows:

**Authority:** Atomic Energy Act of 1954, secs. 11, 161(w) (42 U.S.C. 2014, 2201(w)); Energy Reorganization Act of 1974, sec. 201 (42 U.S.C. 5841); 42 U.S.C. 2215; 31 U.S.C. 901, 902, 9701; 44 U.S.C. 3504 note.

2. In § 170.3, revise the definition for “Small modular reactor (SMR)” to read as follows.

**§ 170.3 Definitions.**

\* \* \* \* \*

*Small modular reactor (SMR)* for the purposes of calculating fees, means the class of power reactors having a licensed thermal power rating less than or equal to 1,000 MWt per module. This rating is based on the thermal power equivalent of an SMR with an electrical power generating capacity of 300 MWe or less per module.

\* \* \* \* \*

3. In § 170.12, revise paragraph (f) to read as follows.

**§ 170.12 Payment of fees.**

\* \* \* \* \*

(f) *Method of payment.* All fee payments under 10 CFR part 170 are to be made payable to the U.S. Nuclear Regulatory Commission. The payments are to be made in U.S. funds by electronic funds transfer, such as ACH (Automated Clearing House) using Electronic Data Interchange (E.D.I.), check, draft, money order, credit card, Amazon Pay, or PayPal (submit electronic payment at [www.Pay.gov](http://www.Pay.gov) or manual payment using the NRC Form 629, “Authorization for Payment by Credit Card”). Specific written instructions for making electronic payments and credit card payments may be obtained by contacting the Office of the Chief Financial Officer at 301-415-7554. In accordance

with Department of the Treasury requirements, refunds will only be made upon receipt of information on the payee's financial institution and bank accounts.

\* \* \* \* \*

#### **§ 170.20 [Amended]**

4. In § 170.20, remove the dollar amount "\$290" and add in its place the dollar amount "\$300".

5. In § 170.31, revise table 1 to read as follows:

#### **§ 170.31 Schedule of fees for materials licenses and other regulatory services, including inspections, and import and export licenses.**

\* \* \* \* \*

**Table 1 to § 170.31—Schedule of Materials Fees**

[See footnotes at end of table]

| <b>Category of materials licenses and type of fees<sup>1</sup></b>  | <b>Fees<sup>2, 3</sup></b> |
|---|----------------------------|
| 1. Special nuclear material: <sup>11</sup>  |                            |
| A. (1) Licenses for possession and use of U-235 or plutonium for fuel fabrication activities.   |                            |
| (a) Strategic Special Nuclear Material (High Enriched Uranium) <sup>6</sup><br>[Program Code(s): 21213]   | Full Cost                  |
| (b) Low Enriched Uranium in Dispersible Form Used for<br>Fabrication of Power Reactor Fuel <sup>6</sup> [Program Code(s): 21210]  | Full Cost                  |
| (2) All other special nuclear materials licenses not included in<br>Category 1.A. (1) which are licensed for fuel cycle activities. <sup>6</sup>  |                            |
| (a) Facilities with limited operations <sup>6</sup> [Program Code(s): 21240,<br>21310, 21320]   | Full Cost                  |
| (b) Gas centrifuge enrichment demonstration facilities. <sup>6</sup> [Program<br>Code(s): 21205]  | Full Cost                  |
| (c) Others, including hot cell facilities. <sup>6</sup> [Program Code(s): 21130,<br>21131, 21133]   | Full Cost                  |
| B. Licenses for receipt and storage of spent fuel and reactor-related<br>Greater than Class C (GTCC) waste at an independent spent fuel storage<br>installation (ISFSI) <sup>6</sup> [Program Code(s): 23200]   | Full Cost                  |
| C. Licenses for possession and use of special nuclear material of less than<br>a critical mass as defined in § 70.4 of this chapter in sealed sources<br>contained in devices used in industrial measuring systems, including x-ray<br>fluorescence analyzers. <sup>4</sup><br>Application [Program Code(s): 22140]   | \$1,400                    |
| D. All other special nuclear material licenses, except licenses authorizing<br>special nuclear material in sealed or unsealed form in combination that<br>would constitute a critical mass, as defined in § 70.4 of this chapter, for<br>which the licensee shall pay the same fees as those under Category 1.A. <sup>4</sup><br>Application [Program Code(s): 22110, 22111, 22120, 22131, 22136,<br>22150, 22151, 22161, 22170, 23100, 23300, 23310] | \$2,800                    |



|   |           |
|---|-----------|
| E. Licenses or certificates for construction and operation of a uranium enrichment facility <sup>6</sup> [Program Code(s): 21200]   | Full Cost |
| F. Licenses for possession and use of special nuclear material greater than critical mass as defined in § 70.4 of this chapter, for development and testing of commercial products, and other non-fuel-cycle activities. <sup>4, 6</sup> [Program Code(s): 22155]   | Full Cost |
| 2. Source material: <sup>11</sup>   |           |
| A. (1) Licenses for possession and use of source material for refining uranium mill concentrates to uranium hexafluoride or for deconverting uranium hexafluoride in the production of uranium oxides for disposal. <sup>6</sup> [Program Code(s): 11400]   | Full Cost |
| (2) Licenses for possession and use of source material in recovery operations such as milling, <i>in-situ</i> recovery, heap-leaching, ore buying stations, ion-exchange facilities, and in processing of ores containing source material for extraction of metals other than uranium or thorium, including licenses authorizing the possession of byproduct waste material (tailings) from source material recovery operations, as well as licenses authorizing the possession and maintenance of a facility in a standby mode. <sup>6</sup> |           |
| (a) Conventional and Heap Leach facilities <sup>6</sup> [Program Code(s): 11100]  | Full Cost |
| (b) Basic <i>In Situ</i> Recovery facilities <sup>6</sup> [Program Code(s): 11500]  | Full Cost |
| (c) Expanded <i>In Situ</i> Recovery facilities <sup>6</sup> [Program Code(s): 11510]   | Full Cost |
| (d) <i>In Situ</i> Recovery Resin facilities <sup>6</sup> [Program Code(s): 11550]  | Full Cost |
| (e) Resin Toll Milling facilities <sup>6</sup> [Program Code(s): 11555]   | Full Cost |
| (f) Other facilities <sup>6</sup> [Program Code(s): 11700]  | Full Cost |
| (3) Licenses that authorize the receipt of byproduct material, as defined in section 11e.(2) of the Atomic Energy Act, from other persons for possession and disposal, except those licenses subject to the fees in Category 2.A.(2) or Category 2.A.(4) <sup>6</sup> [Program Code(s): 11600, 12000]   | Full Cost |
| (4) Licenses that authorize the receipt of byproduct material, as defined in section 11e.(2) of the Atomic Energy Act, from other persons for possession and disposal incidental to the disposal of the uranium waste tailings generated by the licensee's milling operations, except those licenses subject to the fees in Category 2.A.(2) <sup>6</sup> [Program Code(s): 12010]  | Full Cost |
| B. Licenses which authorize the possession, use, and/or installation of source material for shielding. <sup>7, 8</sup><br>Application [Program Code(s): 11210]  | \$1,300   |
| C. Licenses to distribute items containing source material to persons exempt from the licensing requirements of part 40 of this chapter.<br>Application [Program Code(s): 11240]  | \$6,400   |
| D. Licenses to distribute source material to persons generally licensed under part 40 of this chapter.<br>Application [Program Code(s): 11230, 11231]   | \$3,000   |
| E. Licenses for possession and use of source material for processing or manufacturing of products or materials containing source material for commercial distribution.<br>Application [Program Code(s): 11710]  | \$2,800   |
| F. All other source material licenses.<br>Application [Program Code(s): 11200, 11220, 11221, 11300, 11800, 11810, 11820]  | \$2,800   |
| 3. Byproduct material: <sup>11</sup>  | \$14,000  |

|   |          |
|---|----------|
| <p>A. Licenses of broad scope for the possession and use of byproduct material issued under parts 30 and 33 of this chapter for processing or manufacturing of items containing byproduct material for commercial distribution. Number of locations of use: 1–5.<br/>Application [Program Code(s): 03211, 03212, 03213]</p>   |          |
| <p>(1). Licenses of broad scope for the possession and use of byproduct material issued under parts 30 and 33 of this chapter for processing or manufacturing of items containing byproduct material for commercial distribution. Number of locations of use: 6–20.<br/>Application [Program Code(s): 04010, 04012, 04014]</p>  | \$18,600 |
| <p>(2). Licenses of broad scope for the possession and use of byproduct material issued under parts 30 and 33 of this chapter for processing or manufacturing of items containing byproduct material for commercial distribution. Number of locations of use: more than 20.<br/>Application [Program Code(s): 04011, 04013, 04015]</p>  | \$23,300 |
| <p>B. Other licenses for possession and use of byproduct material issued under part 30 of this chapter for processing or manufacturing of items containing byproduct material for commercial distribution. Number of locations of use: 1–5.<br/>Application [Program Code(s): 03214, 03215, 22135, 22162]</p>   | \$3,900  |
| <p>(1). Other licenses for possession and use of byproduct material issued under part 30 of this chapter for processing or manufacturing of items containing byproduct material for commercial distribution. Number of locations of use: 6–20.<br/>Application [Program Code(s): 04110, 04112, 04114, 04116]</p>  | \$5,200  |
| <p>(2). Other licenses for possession and use of byproduct material issued under part 30 of this chapter for processing or manufacturing of items containing byproduct material for commercial distribution. Number of locations of use: more than 20.<br/>Application [Program Code(s): 04111, 04113, 04115, 04117]</p>  | \$6,400  |
| <p>C. Licenses issued under §§ 32.72 and/or 32.74 of this chapter that authorize the processing or manufacturing and distribution or redistribution of radiopharmaceuticals, generators, reagent kits, and/or sources and devices containing byproduct material. This category does not apply to licenses issued to nonprofit educational institutions whose processing or manufacturing is exempt under § 170.11(a)(4). Number of locations of use: 1–5.<br/>Application [Program Code(s): 02500, 02511, 02513]</p>            | \$5,600  |
| <p>(1). Licenses issued under §§ 32.72 and/or 32.74 of this chapter that authorize the processing or manufacturing and distribution or redistribution of radiopharmaceuticals, generators, reagent kits, and/or sources and devices containing byproduct material. This category does not apply to licenses issued to nonprofit educational institutions whose processing or manufacturing is exempt under § 170.11(a)(4). Number of locations of use: 6–20.<br/>Application [Program Code(s): 04210, 04212, 04214]</p>         | \$7,500  |
| <p>(2). Licenses issued under §§ 32.72 and/or 32.74 of this chapter that authorize the processing or manufacturing and distribution or redistribution of radiopharmaceuticals, generators, reagent kits, and/or sources and devices containing byproduct material. This category does not apply to licenses issued to nonprofit educational institutions whose processing or manufacturing is exempt under § 170.11(a)(4). Number of locations of use: more than 20.<br/>Application [Program Code(s): 04211, 04213, 04215]</p> | \$9,300  |

|   |          |
|---|----------|
| D. [Reserved]   | N/A      |
| <p>E. Licenses for possession and use of byproduct material in sealed sources for irradiation of materials in which the source is not removed from its shield (self-shielded units).</p> <p>Application [Program Code(s): 03510, 03520]</p>   | \$3,400  |
| <p>F. Licenses for possession and use of less than or equal to 10,000 curies of byproduct material in sealed sources for irradiation of materials in which the source is exposed for irradiation purposes. This category also includes underwater irradiators for irradiation of materials where the source is not exposed for irradiation purposes.</p> <p>Application [Program Code(s): 03511]</p>  | \$7,000  |
| <p>G. Licenses for possession and use of greater than 10,000 curies of byproduct material in sealed sources for irradiation of materials in which the source is exposed for irradiation purposes. This category also includes underwater irradiators for irradiation of materials where the source is not exposed for irradiation purposes.</p> <p>Application [Program Code(s): 03521]</p>   | \$66,900 |
| <p>H. Licenses issued under subpart A of part 32 of this chapter to distribute items containing byproduct material that require device review to persons exempt from the licensing requirements of part 30 of this chapter. The category does not include specific licenses authorizing redistribution of items that have been authorized for distribution to persons exempt from the licensing requirements of part 30 of this chapter.</p> <p>Application [Program Code(s): 03254, 03255, 03257]</p>  | \$7,200  |
| <p>I. Licenses issued under subpart A of part 32 of this chapter to distribute items containing byproduct material or quantities of byproduct material that do not require device evaluation to persons exempt from the licensing requirements of part 30 of this chapter. This category does not include specific licenses authorizing redistribution of items that have been authorized for distribution to persons exempt from the licensing requirements of part 30 of this chapter.</p> <p>Application [Program Code(s): 03250, 03251, 03253, 03256]</p> | \$17,200 |
| <p>J. Licenses issued under subpart B of part 32 of this chapter to distribute items containing byproduct material that require sealed source and/or device review to persons generally licensed under part 31 of this chapter. This category does not include specific licenses authorizing redistribution of items that have been authorized for distribution to persons generally licensed under part 31 of this chapter.</p> <p>Application [Program Code(s): 03240, 03241, 03243]</p>  | \$2,200  |
| <p>K. Licenses issued under subpart B of part 32 of this chapter to distribute items containing byproduct material or quantities of byproduct material that do not require sealed source and/or device review to persons generally licensed under part 31 of this chapter. This category does not include specific licenses authorizing redistribution of items that have been authorized for distribution to persons generally licensed under part 31 of this chapter.</p> <p>Application [Program Code(s): 03242, 03244]</p>                                | \$1,200  |
| <p>L. Licenses of broad scope for possession and use of byproduct material issued under parts 30 and 33 of this chapter for research and development that do not authorize commercial distribution. Number of locations of use: 1–5.</p> <p>Application [Program Code(s): 01100, 01110, 01120, 03610, 03611, 03612, 03613]</p>  | \$5,900  |

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| <p>(1) Licenses of broad scope for possession and use of byproduct material issued under parts 30 and 33 of this chapter for research and development that do not authorize commercial distribution. Number of locations of use: 6–20.<br/>Application [Program Code(s): 04610, 04612, 04614, 04616, 04618, 04620, 04622]</p>  | \$7,900  |
| <p>(2) Licenses of broad scope for possession and use of byproduct material issued under parts 30 and 33 of this chapter for research and development that do not authorize commercial distribution. Number of locations of use: more than 20.<br/>Application [Program Code(s): 04611, 04613, 04615, 04617, 04619, 04621, 04623]</p>  | \$9,800  |
| <p>M. Other licenses for possession and use of byproduct material issued under part 30 of this chapter for research and development that do not authorize commercial distribution.<br/>Application [Program Code(s): 03620]</p>  | \$21,600 |
| <p>N. Licenses that authorize services for other licensees, except:<br/>(1) Licenses that authorize only calibration and/or leak testing services are subject to the fees specified in fee Category 3.P.; and<br/>(2) Licenses that authorize waste disposal services are subject to the fees specified in fee Categories 4.A., 4.B., and 4.C.<sup>13</sup><br/>Application [Program Code(s): 03219, 03225, 03226]</p> | \$9,600  |
| <p>O. Licenses for possession and use of byproduct material issued under part 34 of this chapter for industrial radiography operations. Number of locations of use: 1–5.<br/>Application [Program Code(s): 03310, 03320]</p>   | \$21,100 |
| <p>(1). Licenses for possession and use of byproduct material issued under part 34 of this chapter for industrial radiography operations. Number of locations of use: 6–20.<br/>Application [Program Code(s): 04310, 04312]</p>  | \$28,100 |
| <p>(2). Licenses for possession and use of byproduct material issued under part 34 of this chapter for industrial radiography operations. Number of locations of use: more than 20.<br/>Application [Program Code(s): 04311, 04313]</p>  | \$35,100 |
| <p>P. All other specific byproduct material licenses, except those in Categories 4.A. through 9.D.<sup>9</sup> Number of locations of use: 1–5.<br/>Application [Program Code(s): 02400, 02410, 03120, 03121, 03122, 03123, 03124, 03130, 03140, 03220, 03221, 03222, 03800, 03810, 22130]</p>   | \$9,400  |
| <p>(1). All other specific byproduct material licenses, except those in Categories 4.A. through 9.D.<sup>9</sup> Number of locations of use: 6–20.<br/>Application [Program Code(s): 04410, 04412, 04414, 04416, 04418, 04420, 04422, 04424, 04426, 04428, 04430, 04432, 04434, 04436, 04438]</p>  | \$12,500 |
| <p>(2). All other specific byproduct material licenses, except those in Categories 4.A. through 9.D.<sup>9</sup> Number of locations of use: more than 20.<br/>Application [Program Code(s): 04411, 04413, 04415, 04417, 04419, 04421, 04423, 04425, 04427, 04429, 04431, 04433, 04435, 04437, 04439]</p>  | \$15,600 |
| <p>Q. Registration of a device(s) generally licensed under part 31 of this chapter.<br/>Registration</p>   | \$500    |

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| <p>R. Possession of items or products containing radium-226 identified in § 31.12 of this chapter which exceed the number of items or limits specified in that section.<sup>5</sup></p> <p>1. Possession of quantities exceeding the number of items or limits in § 31.12(a)(4) or (5) of this chapter but less than or equal to 10 times the number of items or limits specified.</p> <p>Application [Program Code(s): 02700]</p>  | \$2,800   |
| <p>2. Possession of quantities exceeding 10 times the number of items or limits specified in § 31.12(a)(4) or (5) of this chapter.</p> <p>Application [Program Code(s): 02710]</p>  | \$2,700   |
| <p>S. Licenses for production of accelerator-produced radionuclides.</p> <p>Application [Program Code(s): 03210]</p>  | \$15,300  |
| <p>4. Waste disposal and processing:<sup>11</sup></p> <p>A. Licenses specifically authorizing the receipt of waste byproduct material, source material, or special nuclear material from other persons for the purpose of contingency storage or commercial land disposal by the licensee; or licenses authorizing contingency storage of low-level radioactive waste at the site of nuclear power reactors; or licenses for receipt of waste from other persons for incineration or other treatment, packaging of resulting waste and residues, and transfer of packages to another person authorized to receive or dispose of waste material.</p> <p>Application [Program Code(s): 03231, 03233, 03236, 06100, 06101]</p> | Full Cost |
| <p>B. Licenses specifically authorizing the receipt of waste byproduct material, source material, or special nuclear material from other persons for the purpose of packaging or repackaging the material. The licensee will dispose of the material by transfer to another person authorized to receive or dispose of the material.</p> <p>Application [Program Code(s): 03234]</p>  | \$7,500   |
| <p>C. Licenses specifically authorizing the receipt of prepackaged waste byproduct material, source material, or special nuclear material from other persons. The licensee will dispose of the material by transfer to another person authorized to receive or dispose of the material.</p> <p>Application [Program Code(s): 03232]</p>   | \$5,400   |
| <p>5. Well logging:<sup>11</sup></p> <p>A. Licenses for possession and use of byproduct material, source material, and/or special nuclear material for well logging, well surveys, and tracer studies other than field flooding tracer studies.</p> <p>Application [Program Code(s): 03110, 03111, 03112]</p>   | \$4,900   |
| <p>B. Licenses for possession and use of byproduct material for field flooding tracer studies.</p> <p>Licensing [Program Code(s): 03113]</p>  | Full Cost |
| <p>6. Nuclear laundries:<sup>11</sup></p> <p>A. Licenses for commercial collection and laundry of items contaminated with byproduct material, source material, or special nuclear material.</p> <p>Application [Program Code(s): 03218]</p>   | \$28,000  |
| <p>7. Medical licenses:<sup>11</sup></p> <p>A. Licenses issued under parts 30, 35, 40, and 70 of this chapter for human use of byproduct material, source material, or special nuclear material in sealed sources contained in gamma stereotactic radiosurgery units, teletherapy devices, or similar beam therapy devices. Number of locations of use: 1–5.</p> <p>Application [Program Code(s): 02300, 02310]</p>   | \$12,000  |
| <p>(1). Licenses issued under parts 30, 35, 40, and 70 of this chapter for human use of byproduct material, source material, or special nuclear material in sealed sources contained in gamma stereotactic</p>  | \$15,900  |

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| <p>radiosurgery units, teletherapy devices, or similar beam therapy devices. Number of locations of use: 6–20.<br/>Application [Program Code(s): 04510, 04512]</p>  |          |
| <p>(2). Licenses issued under parts 30, 35, 40, and 70 of this chapter for human use of byproduct material, source material, or special nuclear material in sealed sources contained in gamma stereotactic radiosurgery units, teletherapy devices, or similar beam therapy devices. Number of locations of use: more than 20.<br/>Application [Program Code(s): 04511, 04513]</p>  | \$19,900 |
| <p>B. Licenses of broad scope issued to medical institutions or two or more physicians under parts 30, 33, 35, 40, and 70 of this chapter authorizing research and development, including human use of byproduct material, except licenses for byproduct material, source material, or special nuclear material in sealed sources contained in teletherapy devices. This category also includes the possession and use of source material for shielding when authorized on the same license. Number of locations of use: 1–5.<br/>Application [Program Code(s): 02110]</p>            | \$9,400  |
| <p>(1). Licenses of broad scope issued to medical institutions or two or more physicians under parts 30, 33, 35, 40, and 70 of this chapter authorizing research and development, including human use of byproduct material, except licenses for byproduct material, source material, or special nuclear material in sealed sources contained in teletherapy devices. This category also includes the possession and use of source material for shielding when authorized on the same license. Number of locations of use: 6–20.<br/>Application [Program Code(s): 04710]</p>         | \$12,400 |
| <p>(2). Licenses of broad scope issued to medical institutions or two or more physicians under parts 30, 33, 35, 40, and 70 of this chapter authorizing research and development, including human use of byproduct material, except licenses for byproduct material, source material, or special nuclear material in sealed sources contained in teletherapy devices. This category also includes the possession and use of source material for shielding when authorized on the same license. Number of locations of use: more than 20.<br/>Application [Program Code(s): 04711]</p> | \$15,500 |
| <p>C. Other licenses issued under parts 30, 35, 40, and 70 of this chapter for human use of byproduct material, source material, and/or special nuclear material, except licenses for byproduct material, source material, or special nuclear material in sealed sources contained in teletherapy devices.<sup>10</sup> Number of locations of use: 1–5.<br/>Application [Program Code(s): 02120, 02121, 02200, 02201, 02210, 02220, 02230, 02231, 02240, 22160]</p>  | \$12,800 |
| <p>(1). Other licenses issued under parts 30, 35, 40, and 70 of this chapter for human use of byproduct material, source material, and/or special nuclear material, except licenses for byproduct material, source material, or special nuclear material in sealed sources contained in teletherapy devices.<sup>10</sup> Number of locations of use: 6–20.<br/>Application [Program Code(s): 04810, 04812, 04814, 04816, 04818, 04820, 04822, 04824, 04826, 04828]</p>   | \$17,100 |
| <p>(2). Other licenses issued under parts 30, 35, 40, and 70 of this chapter for human use of byproduct material, source material, and/or special nuclear material, except licenses for byproduct material, source material, or special nuclear material in sealed sources contained in teletherapy devices.<sup>10</sup> Number of locations of use: more than 20.<br/>Application [Program Code(s): 04811, 04813, 04815, 04817, 04819, 04821, 04823, 04825, 04827, 04829]</p>   | \$11,800 |

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| 8. Civil defense: <sup>11</sup><br>A. Licenses for possession and use of byproduct material, source material, or special nuclear material for civil defense activities.<br>Application [Program Code(s): 03710]   | \$2,800   |
| 9. Device, product, or sealed source safety evaluation:<br>A. Safety evaluation of devices or products containing byproduct material, source material, or special nuclear material, except reactor fuel devices, for commercial distribution.<br>Application -- each device   | \$19,100  |
| B. Safety evaluation of devices or products containing byproduct material, source material, or special nuclear material manufactured in accordance with the unique specifications of, and for use by, a single applicant, except reactor fuel devices.<br>Application -- each device  | \$9,700   |
| C. Safety evaluation of sealed sources containing byproduct material, source material, or special nuclear material, except reactor fuel, for commercial distribution.<br>Application -- each source   | \$5,700   |
| D. Safety evaluation of sealed sources containing byproduct material, source material, or special nuclear material, manufactured in accordance with the unique specifications of, and for use by, a single applicant, except reactor fuel.<br>Application -- each source  | \$1,100   |
| 10. Transportation of radioactive material:<br>A. Evaluation of casks, packages, and shipping containers.<br>1. Spent Fuel, High-Level Waste, and plutonium air packages  | Full Cost |
| 2. Other Casks  | Full Cost |
| B. Quality assurance program approvals issued under part 71 of this chapter.<br>1. Users and Fabricators.<br>Application  | \$4,200   |
| Inspections   | Full Cost |
| 2. Users.<br>Application  | \$4,200   |
| Inspections   | Full Cost |
| C. Evaluation of security plans, route approvals, route surveys, and transportation security devices (including immobilization devices).  | Full Cost |
| 11. Review of standardized spent fuel facilities.   | Full Cost |
| 12. Special projects:<br>Including approvals, pre-application/licensing activities, and inspections.<br>Application [Program Code: 25110]   | Full Cost |
| 13. A. Spent fuel storage cask Certificate of Compliance.   | Full Cost |
| B. Inspections related to storage of spent fuel under § 72.210 of this chapter.   | Full Cost |
| 14. Decommissioning/Reclamation <sup>11</sup><br>A. Byproduct, source, or special nuclear material licenses and other approvals authorizing decommissioning, decontamination, reclamation, or site restoration activities under parts 30, 40, 70, 72, and 76 of this chapter, including master materials licenses (MMLs). The transition to this fee category occurs when a licensee has permanently ceased principal activities. [Program Code(s): 03900, 11900, 21135, 21215, 21325, 22200] | Full Cost |
| B. Site-specific decommissioning activities associated with unlicensed sites, including MMLs, regardless of whether or not the sites have been previously licensed.   | Full Cost |
| 15. Import and Export licenses: <sup>12</sup>   |           |

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| <p>Licenses issued under part 110 of this chapter for the import and export only of special nuclear material, source material, tritium and other byproduct material, and the export only of heavy water, or nuclear grade graphite (fee categories 15.A. through 15.E.).</p> <p>A. Application for export or import of nuclear materials, including radioactive waste requiring Commission and Executive Branch review, for example, those actions under § 110.40(b) of this chapter.<br/>Application -- new license, or amendment; or license exemption request</p>   | N/A |
| <p>B. Application for export or import of nuclear material, including radioactive waste, requiring Executive Branch review, but not Commission review. This category includes applications for the export and import of radioactive waste and requires the NRC to consult with domestic host state authorities (i.e., Low-Level Radioactive Waste Compact Commission, the U.S. Environmental Protection Agency, etc.).<br/>Application -- new license, or amendment; or license exemption request</p>  | N/A |
| <p>C. Application for export of nuclear material, for example, routine reloads of low enriched uranium reactor fuel and/or natural uranium source material requiring the assistance of the Executive Branch to obtain foreign government assurances.<br/>Application -- new license, or amendment; or license exemption request</p>  | N/A |
| <p>D. Application for export or import of nuclear material not requiring Commission or Executive Branch review, or obtaining foreign government assurances.<br/>Application -- new license, or amendment; or license exemption request.</p>  | N/A |
| <p>E. Minor amendment of any active export or import license, for example, to extend the expiration date, change domestic information, or make other revisions which do not involve any substantive changes to license terms and conditions or to the type/quantity/chemical composition of the material authorized for export and, therefore, do not require in-depth analysis, review, or consultations with other Executive Branch, U.S. host state, or foreign government authorities.<br/>Minor amendment</p>   | N/A |
| <p>Licenses issued under part 110 of this chapter for the import and export only of Category 1 and Category 2 quantities of radioactive material listed in appendix P to part 110 of this chapter (fee categories 15.F. through 15.R.).</p> <p><i>Category 1 (Appendix P, 10 CFR Part 110) Exports:</i></p> <p>F. Application for export of appendix P Category 1 materials requiring Commission review (e.g., exceptional circumstance review under § 110.42(e)(4) of this chapter) and to obtain one government-to-government consent for this process. For additional consent see fee category 15.I.<br/>Application -- new license, or amendment; or license exemption request</p> | N/A |
| <p>G. Application for export of appendix P Category 1 materials requiring Executive Branch review and to obtain one government-to-government consent for this process. For additional consents see fee category 15.I.<br/>Application -- new license, or amendment; or license exemption request</p>   | N/A |



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| H. Application for export of appendix P Category 1 materials and to obtain one government-to-government consent for this process. For additional consents see fee category 15.I.<br>Application -- new license, or amendment; or license exemption request  | N/A       |
| I. Requests for each additional government-to-government consent in support of an export license application or active export license.<br>Application -- new license, or amendment; or license exemption request  | N/A       |
| <i>Category 2 (Appendix P, 10 CFR Part 110) Exports:</i><br>J. Application for export of appendix P Category 2 materials requiring Commission review (e.g., exceptional circumstance review under § 110.42(e)(4) of this chapter).<br>Application -- new license, or amendment; or license exemption request  | N/A       |
| K. Applications for export of appendix P Category 2 materials requiring Executive Branch review.<br>Application -- new license, or amendment; or license exemption request  | N/A       |
| L. Application for the export of Category 2 materials.<br>Application -- new license, or amendment; or license exemption request  | N/A       |
| M. [Reserved]   | N/A       |
| N. [Reserved]   | N/A       |
| O. [Reserved]   | N/A       |
| P. [Reserved]   | N/A       |
| Q. [Reserved]   | N/A       |
| <i>Minor Amendments (Category 1 and 2, Appendix P, 10 CFR Part 110, Export):</i><br>R. Minor amendment of any active export license, for example, to extend the expiration date, change domestic information, or make other revisions which do not involve any substantive changes to license terms and conditions or to the type/quantity/chemical composition of the material authorized for export and, therefore, do not require in-depth analysis, review, or consultations with other Executive Branch, U.S. host state, or foreign authorities.<br>Minor amendment | N/A       |
| 16. Reciprocity:<br>Agreement State licensees who conduct activities under the reciprocity provisions of § 150.20 of this chapter.<br>Application   | \$3,000   |
| 17. Master materials licenses of broad scope issued to Government agencies.<br>Application [Program Code(s): 03614]   | Full Cost |
| 18. Department of Energy.<br>A. Certificates of Compliance. Evaluation of casks, packages, and shipping containers (including spent fuel, high-level waste, and other casks, and plutonium air packages).   | Full Cost |
| B. Uranium Mill Tailings Radiation Control Act (UMTRCA) activities.   | Full Cost |

<sup>1</sup>*Types of fees*—Separate charges, as shown in the schedule, will be assessed for pre-application consultations and reviews; applications for new licenses, approvals, or license terminations; possession-only licenses; issuances of new licenses and approvals; certain amendments and renewals to existing licenses and approvals; safety evaluations of sealed sources and devices; generally licensed device registrations; and certain inspections. The following guidelines apply to these charges:

(1) *Application and registration fees.* Applications for new materials licenses and export and import licenses; applications to reinstate expired, terminated, or inactive licenses, except those subject to fees assessed at full costs; applications filed by Agreement State licensees to register under the general license provisions of 10 CFR 150.20; and applications for amendments to materials licenses that would place the license in a higher fee category or add a new fee category must be accompanied by the prescribed application fee for each category.

(i) Applications for licenses covering more than one fee category of special nuclear material or source material must be accompanied by the prescribed application fee for the highest fee category.

(ii) Applications for new licenses that cover both byproduct material and special nuclear material in sealed sources for use in gauging devices will pay the appropriate application fee for fee category 1.C. only.

(2) *Licensing fees.* Fees for reviews of applications for new licenses, renewals, and amendments to existing licenses, pre-application consultations and other documents submitted to the NRC for review, and project manager time for fee categories subject to full cost fees are due upon notification by the Commission in accordance with § 170.12(b).

(3) *Amendment fees.* Applications for amendments to export and import licenses must be accompanied by the prescribed amendment fee for each license affected. An application for an amendment to an export or import license or approval classified in more than one fee category must be accompanied by the prescribed amendment fee for the category affected by the amendment, unless the amendment is applicable to two or more fee categories, in which case the amendment fee for the highest fee category would apply.

(4) *Inspection fees.* Inspections resulting from investigations conducted by the Office of Investigations and nonroutine inspections that result from third-party allegations are not subject to fees. Inspection fees are due upon notification by the Commission in accordance with § 170.12(c).

(5) *Generally licensed device registrations under 10 CFR 31.5.* Submittals of registration information must be accompanied by the prescribed fee.

<sup>2</sup>Fees will be charged for approvals issued under a specific exemption provision of the Commission's regulations under title 10 of the *Code of Federal Regulations* (e.g., 10 CFR 30.11, 40.14, 70.14, 73.5, and any other sections in effect now or in the future), regardless of whether the approval is in the form of a license amendment, letter of approval, safety evaluation report, or other form. In addition to the fee shown, an applicant may be assessed an additional fee for sealed source and device evaluations as shown in fee categories 9.A. through 9.D.

<sup>3</sup>Full cost fees will be determined based on the professional staff time multiplied by the appropriate professional hourly rate established in § 170.20 in effect when the service is provided, and the appropriate contractual support services expended.

<sup>4</sup>Licensees paying fees under categories 1.A., 1.B., and 1.E. are not subject to fees under categories 1.C., 1.D. and 1.F. for sealed sources authorized in the same license, except for an application that deals only with the sealed sources authorized by the license.

<sup>5</sup>Persons who possess radium sources that are used for operational purposes in another fee category are not also subject to the fees in this category. (This exception does not apply if the radium sources are possessed for storage only.)

<sup>6</sup>Licensees subject to fees under fee categories 1.A., 1.B., 1.E., or 2.A. must pay the largest applicable fee and are not subject to additional fees listed in this table.

<sup>7</sup>Licensees paying fees under 3.C., 3.C.1, or 3.C.2 are not subject to fees under 2.B. for possession and shielding authorized on the same license.

<sup>8</sup>Licensees paying fees under 7.C. are not subject to fees under 2.B. for possession and shielding authorized on the same license.

<sup>9</sup>Licensees paying fees under 3.N. are not subject to paying fees under 3.P., 3.P.1, or 3.P.2 for calibration or leak testing services authorized on the same license.

<sup>10</sup>Licensees paying fees under 7.B., 7.B.1, or 7.B.2 are not subject to paying fees under 7.C., 7.C.1, or 7.C.2. for broad scope licenses issued under parts 30, 35, 40, and 70 of this chapter for human use of byproduct material, source material, and/or special nuclear material, except licenses for byproduct material, source material, or special nuclear material in sealed sources contained in teletherapy devices authorized on the same license.

<sup>11</sup>A materials license (or part of a materials license) that transitions to fee category 14.A is assessed full-cost fees under 10 CFR part 170, but is not assessed an annual fee under 10 CFR part 171. If only part of a materials license is transitioned to fee category 14.A, the licensee may be charged annual fees (and any applicable 10 CFR part 170 fees) for other activities authorized under the license that are not in decommissioning status.

<sup>12</sup>Because the resources for import and export licensing activities are identified as a fee-relief activity to be excluded from the fee-recoverable budget, import and export licensing actions will not incur fees.

<sup>13</sup>Licensees paying fees under 4.A., 4.B. or 4.C. are not subject to paying fees under 3.N. licenses that authorize services for other licensees authorized on the same license.

**PART 171—ANNUAL FEES FOR REACTOR LICENSES AND FUEL CYCLE  
LICENSES AND MATERIALS LICENSES, INCLUDING HOLDERS OF  
CERTIFICATES OF COMPLIANCE, REGISTRATIONS, AND QUALITY ASSURANCE  
PROGRAM APPROVALS AND GOVERNMENT AGENCIES LICENSED BY THE NRC**

6. The authority citation for part 171 continues to read as follows:

**Authority:** Atomic Energy Act of 1954, secs. 11, 161(w), 223, 234 (42 U.S.C. 2014, 2201(w), 2273, 2282); Energy Reorganization Act of 1974, sec. 201 (42 U.S.C. 5841); 42 U.S.C. 2215; 44 U.S.C. 3504 note.

7. In § 171.5, revise the definitions for “Bundled unit”, “Minimum fee”, “Small modular reactor (SMR)”, “Variable fee”, and “Variable rate” to read as follows:

**§ 171.5 Definitions.**

\* \* \* \* \*

*Bundled unit* means multiple SMR reactors on a single site that are considered a single unit for the purpose of assessing an annual fee. A bundled unit is assessed an annual fee based on the cumulative licensed thermal power rating of all licensed SMR reactors on the same site. The maximum capacity of a bundled unit is a cumulative licensed thermal power rating of 4,500 MWt. A single SMR reactor can be part of two bundled units if it completes the capacity of one unit and begins the capacity of an additional unit. For a given site, the use of the bundled unit concept is independent of the number of SMR plants, the number of SMR licenses issued, or the sequencing of the SMR licenses that have been issued. Bundled units with capacities greater than 2,000 MWt and less than or equal to 4,500 MWt are assessed a maximum fee that is

equivalent to the annual fee paid by the current reactor fleet. Above 4,500 MWt establishes an additional bundled unit.

\* \* \* \* \*

*Minimum fee* means the lowest annual fee assessed for an SMR or a bundled unit in a thermal power rating fee assessment tier.

\* \* \* \* \*

*Small modular reactor (SMR)* for the purposes of calculating fees means the class of power reactors having a licensed thermal power rating less than or equal to 1,000 MWt per module. This rating is based on the thermal power equivalent of an SMR with an electrical power generating capacity of 300 MWe or less per module.

\* \* \* \* \*

*Variable fee* means an annual fee component that is added to the minimum fee. The variable fee is designed to gradually increase as licensed thermal power capacity is added within the bundled unit fee assessment tier. The variable fee is calculated as the product of the incremental increase in the thermal power rating multiplied by the variable rate.

*Variable rate* means the factor used to calculate the variable fee component of the annual fee. To determine the total annual fee, the incremental increase in the licensed thermal power rating within the fee assessment tier is multiplied by the variable rate resulting in a variable fee that is added to the minimum fee. There is a different factor for each SMR or bundled unit fee assessment tier. Each factor represents the difference between the lower licensed thermal power rating within each tier and the actual thermal power rating for the unit or site.

8. In § 171.15, revise paragraphs (b)(1) and (b)(2) introductory text, paragraphs (c)(1) and (c)(2) introductory text, and paragraphs (d)(2) and (e) to read as follows:

**§ 171.15 Annual fees: Non-power production or utilization licenses, reactor licenses, and independent spent fuel storage licenses.**

\* \* \* \* \*

(b)(1) The FY 2023 annual fee for each operating power reactor that must be collected by September 30, 2023, is \$5,486,000.

(2) The FY 2023 annual fees are comprised of a base annual fee for power reactors licensed to operate, a base spent fuel storage/reactor decommissioning annual fee and associated additional charges. The activities comprising the spent fuel storage/reactor decommissioning base annual fee are shown in paragraphs (c)(2)(i) and (ii) of this section. The activities comprising the FY 2023 base annual fee for operating power reactors are as follows:

\* \* \* \* \*

(c)(1) The FY 2023 annual fee for each power reactor holding a 10 CFR part 50 license or combined license issued under 10 CFR part 52 that is in a decommissioning or possession-only status and has spent fuel onsite, and for each independent spent fuel storage 10 CFR part 72 licensee who does not hold a 10 CFR part 50 license or a 10 CFR part 52 combined license, is \$267,000.

(2) The FY 2023 annual fee is comprised of a base spent fuel storage/reactor decommissioning annual fee (which is also included in the operating power reactor annual fee shown in paragraph (b) of this section). The activities comprising the FY 2023 spent fuel storage/reactor decommissioning rebaselined annual fee are:

\* \* \* \* \*

(d) \* \* \*

(2) The annual fees for a small modular reactor(s) located on a single site to be collected by September 30 of each year, are as follows:

**Table 1 to Paragraph (d)(2)**

| <b>Bundled Unit Thermal Power Rating</b>    | <b>Minimum Fee</b> | <b>Variable Fee</b> | <b>Maximum Fee</b> |
|---|--------------------|---------------------|--------------------|
| <b>First Bundled Unit(s)—cumulative MWt</b> |                    |                     |                    |
| 0 MWt ≤ 20 MWt                              | TBD <sup>(a)</sup> | N/A                 | N/A                |
| >20 MWt ≤ 250 MWt                           | TBD <sup>(a)</sup> | TBD <sup>(d)</sup>  | N/A                |
| >250 MWt ≤ 2,000 MWt                        | TBD <sup>(b)</sup> | TBD <sup>(e)</sup>  | N/A                |
| >2,000 MWt ≤ 4,500 MWt                      | N/A                | N/A                 | TBD <sup>(c)</sup> |

| <b>Additional Bundled Unit(s)—cumulative MWt (above the first bundled unit of 4,500 MWt)</b> |     |                    |                    |
|--|-----|--------------------|--------------------|
| 0 MWt ≤ 2,000 MWt  | N/A | TBD <sup>(f)</sup> | N/A                |
| >2,000 MWt ≤ 4,500 MWt   | N/A | N/A                | TBD <sup>(c)</sup> |

(a) Annual fee paid by the non-power production or utilization facilities fee class.

(b) Average of the annual fees for the spent fuel storage/reactor decommissioning and the non-power production or utilization facilities fee classes.

(c) Annual fee paid by the operating power reactors fee class.

(d)  $[(b) - (a)] / 230$  x the difference between 20 MWt for the first bundled unit(s) and the actual cumulative licensed thermal power rating up to 250 MWt.

(e)  $[(c) - (b)] / 1,750$  x the difference between 250 MWt for the first bundled unit(s) and the actual cumulative licensed thermal power rating up to 2,000 MWt.

(f)  $[(c) - (b)] / 2,000$  x the difference between 4,500 MWt for the first bundled unit(s) and the total actual cumulative licensed thermal power rating up to 2,000 MWt.

\* \* \* \* \*

(e) The FY 2023 annual fee for licensees authorized to operate one or more non-power production or utilization facilities under a single 10 CFR part 50 license, unless the reactor is exempted from fees under § 171.11(b), is \$98,900.

9. In § 171.16, revise paragraphs (b) introductory text, (c), and (d) to read as follows:

**§ 171.16 Annual fees: Materials licensees, holders of certificates of compliance, holders of sealed source and device registrations, holders of quality assurance program approvals, and government agencies licensed by the NRC.**

\* \* \* \* \*

(b) The FY 2023 annual fee is comprised of a base annual fee and associated additional charges. The base FY 2023 annual fee is the sum of budgeted costs for the following activities:

\* \* \* \* \*

(c) A licensee who is required to pay an annual fee under this section, in addition to 10 CFR part 72 licenses, may qualify as a small entity. If a licensee qualifies as a small entity and provides the Commission with the proper certification along with its annual fee payment, the licensee may pay reduced annual fees as shown in table 1 to this paragraph (c). Failure to file a small entity certification in a timely manner could

result in the receipt of a delinquent invoice requesting the outstanding balance due and/or denial of any refund that might otherwise be due. The small entity fees are as follows:

**Table 1 to Paragraph (c)**

| <b>NRC Small Entity Classification</b>   | <b>Maximum Annual Fee Per Licensed Category</b> |
|--|---|
| Small Businesses Not Engaged in Manufacturing (Average gross receipts over the last 5 completed fiscal years): |   |
| \$555,000 to \$8 million   | \$5,200   |
| Less than \$555,000  | \$1,000   |
| Small Not-For-Profit Organizations (Annual Gross Receipts):  |   |
| \$555,000 to \$8 million   | \$5,200   |
| Less than \$555,000  | \$1,000   |
| Manufacturing Entities that Have An Average of 500 Employees or Fewer:   |   |
| 35 to 500 employees  | \$5,200   |
| Fewer than 35 employees  | \$1,000   |
| Small Governmental Jurisdictions (Including publicly supported educational institutions) (Population):         |   |
| 20,000 to 49,999   | \$5,200   |
| Fewer than 20,000  | \$1,000   |
| Educational Institutions that are not State or Publicly Supported, and have 500 Employees or Fewer             |   |
| 35 to 500 employees  | \$5,200   |
| Fewer than 35 employees  | \$1,000   |

(d) The FY 2023 annual fees for materials licensees and holders of certificates, registrations, or approvals subject to fees under this section are shown in table 2 to this paragraph (d):

**Table 2 to Paragraph (d) - Schedule of Materials Annual Fees  
and Fees for Government Agencies Licensed by NRC  
[See footnotes at end of table]**

| <b>Category of materials licenses</b>   | <b>Annual fees<sup>1, 2, 3</sup></b> |
|---|--------------------------------------|
| 1. Special nuclear material:  |                                      |
| A. (1) Licenses for possession and use of U-235 or plutonium for fuel fabrication activities.                                   |                                      |
| (a) Strategic Special Nuclear Material (High Enriched Uranium) <sup>15</sup> [Program Code(s): 21213]                           | \$5,136,000                          |
| (b) Low Enriched Uranium in Dispersible Form Used for Fabrication of Power Reactor Fuel <sup>15</sup> [Program Code(s): 21210]  | \$1,741,000                          |
| (2) All other special nuclear materials licenses not included in Category 1.A.(1) which are licensed for fuel cycle activities. | \$803,000                            |

|  |                  |
|--|------------------|
| (a) Facilities with limited operations <sup>15</sup> [Program Code(s): 21310, 21320]   |                  |
| (b) Gas centrifuge enrichment demonstration facility <sup>15</sup> [Program Code(s): 21205]  | N/A              |
| (c) Others, including hot cell facility <sup>15</sup> [Program Code(s): 21130, 21131, 21133]   | N/A              |
| B. Licenses for receipt and storage of spent fuel and reactor-related Greater than Class C (GTCC) waste at an independent spent fuel storage installation (ISFSI) <sup>11, 15</sup> [Program Code(s): 23200]   | N/A              |
| C. Licenses for possession and use of special nuclear material of less than a critical mass, as defined in § 70.4 of this chapter, in sealed sources contained in devices used in industrial measuring systems, including x-ray fluorescence analyzers. [Program Code(s): 22140]   | \$2,500          |
| D. All other special nuclear material licenses, except licenses authorizing special nuclear material in sealed or unsealed form in combination that would constitute a critical mass, as defined in § 70.4 of this chapter, for which the licensee shall pay the same fees as those under Category 1.A. [Program Code(s): 22110, 22111, 22120, 22131, 22136, 22150, 22151, 22161, 22170, 23100, 23300, 23310]  | \$7,400          |
| E. Licenses or certificates for the operation of a uranium enrichment facility <sup>15</sup> [Program Code(s): 21200]  | \$2,238,000      |
| F. Licenses for possession and use of special nuclear materials greater than critical mass, as defined in § 70.4 of this chapter, for development and testing of commercial products, and other non-fuel cycle activities. <sup>4</sup> [Program Code: 22155]  | \$4,400          |
| 2. Source material:  |                  |
| A. (1) Licenses for possession and use of source material for refining uranium mill concentrates to uranium hexafluoride or for deconverting uranium hexafluoride in the production of uranium oxides for disposal. <sup>15</sup> [Program Code: 11400]  | \$1,320,000      |
| (2) Licenses for possession and use of source material in recovery operations such as milling, in-situ recovery, heap-leaching, ore buying stations, ion-exchange facilities and in-processing of ores containing source material for extraction of metals other than uranium or thorium, including licenses authorizing the possession of byproduct waste material (tailings) from source material recovery operations, as well as licenses authorizing the possession and maintenance of a facility in a standby mode. |                  |
| (a) Conventional and Heap Leach facilities. <sup>15</sup> [Program Code(s): 11100]   | N/A              |
| (b) Basic <i>In Situ</i> Recovery facilities. <sup>15</sup> [Program Code(s): 11500]   | \$49,500         |
| (c) Expanded <i>In Situ</i> Recovery facilities <sup>15</sup> [Program Code(s): 11510]   | N/A              |
| (d) <i>In Situ</i> Recovery Resin facilities. <sup>15</sup> [Program Code(s): 11550]   | <sup>5</sup> N/A |
| (e) Resin Toll Milling facilities. <sup>15</sup> [Program Code(s): 11555]  | <sup>5</sup> N/A |
| (f) Other facilities <sup>6</sup> [Program Code(s): 11700]   | <sup>5</sup> N/A |
| (3) Licenses that authorize the receipt of byproduct material, as defined in section 11e.(2) of the Atomic Energy Act, from other persons for possession and disposal, except those licenses subject to the fees in Category 2.A.(2) or Category 2.A.(4) <sup>15</sup> [Program Code(s): 11600, 12000]   | <sup>5</sup> N/A |
| (4) Licenses that authorize the receipt of byproduct material, as defined in section 11e.(2) of the Atomic Energy Act, from other persons for possession and disposal incidental to the disposal of the uranium waste tailings generated by the licensee's milling operations,   | N/A              |



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| except those licenses subject to the fees in Category 2.A.(2) <sup>15</sup><br>[Program Code(s): 12010]   |          |
| B. Licenses which authorize the possession, use, and/or installation of source material for shielding. <sup>16, 17</sup> Application [Program Code(s): 11210]   | \$2,800  |
| C. Licenses to distribute items containing source material to persons exempt from the licensing requirements of part 40 of this chapter. [Program Code: 11240]  | \$10,400 |
| D. Licenses to distribute source material to persons generally licensed under part 40 of this chapter. [Program Code(s): 11230 and 11231]   | \$5,300  |
| E. Licenses for possession and use of source material for processing or manufacturing of products or materials containing source material for commercial distribution. [Program Code: 11710]  | \$6,800  |
| F. All other source material licenses. [Program Code(s): 11200, 11220, 11221, 11300, 11800, 11810, 11820]   | \$9,200  |
| 3. Byproduct material:  |          |
| A. Licenses of broad scope for possession and use of byproduct material issued under parts 30 and 33 of this chapter for processing or manufacturing of items containing byproduct material for commercial distribution. Number of locations of use: 1–5. [Program Code(s): 03211, 03212, 03213]  | \$28,800 |
| (1). Licenses of broad scope for the possession and use of byproduct material issued under parts 30 and 33 of this chapter for processing or manufacturing of items containing byproduct material for commercial distribution. Number of locations of use: 6–20. [Program Code(s): 04010, 04012, 04014]   | \$38,300 |
| (2). Licenses of broad scope for the possession and use of byproduct material issued under parts 30 and 33 of this chapter for processing or manufacturing of items containing byproduct material for commercial distribution. Number of locations of use: more than 20. [Program Code(s): 04011, 04013, 04015]   | \$47,800 |
| B. Other licenses for possession and use of byproduct material issued under part 30 of this chapter for processing or manufacturing of items containing byproduct material for commercial distribution. Number of locations of use: 1–5. [Program Code(s): 03214, 03215, 22135, 22162]  | \$10,000 |
| (1). Other licenses for possession and use of byproduct material issued under part 30 of this chapter for processing or manufacturing of items containing byproduct material for commercial distribution. Number of locations of use: 6–20. [Program Code(s): 04110, 04112, 04114, 04116]   | \$13,300 |
| (2). Other licenses for possession and use of byproduct material issued under part 30 of this chapter for processing or manufacturing of items containing byproduct material for commercial distribution. Number of locations of use: more than 20. [Program Code(s): 04111, 04113, 04115, 04117]   | \$16,500 |
| C. Licenses issued under §§ 32.72 and/or 32.74 of this chapter that authorize the processing or manufacturing and distribution or redistribution of radiopharmaceuticals, generators, reagent kits, and/or sources and devices containing byproduct material. This category does not apply to licenses issued to nonprofit educational institutions whose processing or manufacturing is exempt under § 170.11(a)(4) of this chapter. Number of locations of use: 1–5. [Program Code(s): 02500, 02511, 02513] | \$9,700  |
| (1). Licenses issued under §§ 32.72 and/or 32.74 of this chapter that authorize the processing or manufacturing and distribution or   |          |

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| redistribution of radiopharmaceuticals, generators, reagent kits, and/or sources and devices containing byproduct material. This category does not apply to licenses issued to nonprofit educational institutions whose processing or manufacturing is exempt under § 170.11(a)(4). Number of locations of use: 6–20. [Program Code(s): 04210, 04212, 04214]  | \$12,800         |
| (2). Licenses issued under §§ 32.72 and/or 32.74 of this chapter that authorize the processing or manufacturing and distribution or redistribution of radiopharmaceuticals, generators, reagent kits, and/or sources and devices containing byproduct material. This category does not apply to licenses issued to nonprofit educational institutions whose processing or manufacturing is exempt under § 170.11(a)(4). Number of locations of use: more than 20. [Program Code(s): 04211, 04213, 04215]        | \$17,700         |
| D. [Reserved]   | <sup>5</sup> N/A |
| E. Licenses for possession and use of byproduct material in sealed sources for irradiation of materials in which the source is not removed from its shield (self-shielded units). [Program Code(s): 03510, 03520]   | \$9,400          |
| F. Licenses for possession and use of less than or equal to 10,000 curies of byproduct material in sealed sources for irradiation of materials in which the source is exposed for irradiation purposes. This category also includes underwater irradiators for irradiation of materials in which the source is not exposed for irradiation purposes. [Program Code(s): 03511]   | \$9,000          |
| G. Licenses for possession and use of greater than 10,000 curies of byproduct material in sealed sources for irradiation of materials in which the source is exposed for irradiation purposes. This category also includes underwater irradiators for irradiation of materials in which the source is not exposed for irradiation purposes. [Program Code(s): 03521]  | \$74,300         |
| H. Licenses issued under subpart A of part 32 of this chapter to distribute items containing byproduct material that require device review to persons exempt from the licensing requirements of part 30 of this chapter, except specific licenses authorizing redistribution of items that have been authorized for distribution to persons exempt from the licensing requirements of part 30 of this chapter. [Program Code(s): 03254, 03255, 03257]   | \$9,300          |
| I. Licenses issued under subpart A of part 32 of this chapter to distribute items containing byproduct material or quantities of byproduct material that do not require device evaluation to persons exempt from the licensing requirements of part 30 of this chapter, except for specific licenses authorizing redistribution of items that have been authorized for distribution to persons exempt from the licensing requirements of part 30 of this chapter. [Program Code(s): 03250, 03251, 03253, 03256] | \$19,300         |
| J. Licenses issued under subpart B of part 32 of this chapter to distribute items containing byproduct material that require sealed source and/or device review to persons generally licensed under part 31 of this chapter, except specific licenses authorizing redistribution of items that have been authorized for distribution to persons generally licensed under part 31 of this chapter. [Program Code(s): 03240, 03241, 03243]  | \$3,700          |
| K. Licenses issued under subpart B of part 32 of this chapter to distribute items containing byproduct material or quantities of byproduct material that do not require sealed source and/or device review to persons generally licensed under part 31 of this chapter, except specific licenses  | \$2,800          |

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| authorizing redistribution of items that have been authorized for distribution to persons generally licensed under part 31 of this chapter. [Program Code(s): 03242, 03244]   |          |
| L. Licenses of broad scope for possession and use of byproduct material issued under parts 30 and 33 of this chapter for research and development that do not authorize commercial distribution. Number of locations of use: 1–5. [Program Code(s): 01100, 01110, 01120, 03610, 03611, 03612, 03613]  | \$13,500 |
| (1) Licenses of broad scope for possession and use of product material issued under parts 30 and 33 of this chapter for research and development that do not authorize commercial distribution. Number of locations of use: 6–20. [Program Code(s): 04610, 04612, 04614, 04616, 04618, 04620, 04622]  | \$17,900 |
| (2) Licenses of broad scope for possession and use of byproduct material issued under parts 30 and 33 of this chapter for research and development that do not authorize commercial distribution. Number of locations of use: more than 20. [Program Code(s): 04611, 04613, 04615, 04617, 04619, 04621, 04623]  | \$22,300 |
| M. Other licenses for possession and use of byproduct material issued under part 30 of this chapter for research and development that do not authorize commercial distribution. [Program Code(s): 03620]  | \$25,200 |
| N. Licenses that authorize services for other licensees, except:<br>(1) Licenses that authorize only calibration and/or leak testing services are subject to the fees specified in fee Category 3.P.; and (2) Licenses that authorize waste disposal services are subject to the fees specified in fee categories 4.A., 4.B., and 4.C. <sup>21</sup> [Program Code(s): 03219, 03225, 03226] | \$14,900 |
| O. Licenses for possession and use of byproduct material issued under part 34 of this chapter for industrial radiography operations. This category also includes the possession and use of source material for shielding authorized under part 40 of this chapter when authorized on the same license Number of locations of use: 1–5. [Program Code(s): 03310, 03320]                      | \$43,700 |
| (1). Licenses for possession and use of byproduct material issued under part 34 of this chapter for industrial radiography operations. This category also includes the possession and use of source material for shielding authorized under part 40 of this chapter when authorized on the same license. Number of locations of use: 6–20. [Program Code(s): 04310, 04312]                  | \$58,500 |
| (2). Licenses for possession and use of byproduct material issued under part 34 of this chapter for industrial radiography operations. This category also includes the possession and use of source material for shielding authorized under part 40 of this chapter when authorized on the same license. Number of locations of use: more than 20. [Program Code(s): 04311, 04313]          | \$72,900 |
| P. All other specific byproduct material licenses, except those in Categories 4.A. through 9.D. <sup>18</sup> Number of locations of use: 1–5. [Program Code(s): 02400, 02410, 03120, 03121, 03122, 03123, 03124, 03140, 03130, 03220, 03221, 03222, 03800, 03810, 22130]   | \$12,500 |
| (1). All other specific byproduct material licenses, except those in Categories 4.A. through 9.D. <sup>18</sup> Number of locations of use: 6–20. [Program Code(s): 04410, 04412, 04414, 04416, 04418, 04420, 04422, 04424, 04426, 04428, 04430, 04432, 04434, 04436, 04438]  | \$16,700 |
| (2). All other specific byproduct material licenses, except those in Categories 4.A. through 9.D. <sup>18</sup> Number of locations of use: more than 20. [Program Code(s): 04411, 04413, 04415, 04417, 04419,  |          |

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| 04421, 04423, 04425, 04427, 04429, 04431, 04433, 04435, 04437, 04439]  | \$20,800          |
| Q. Registration of devices generally licensed under part 31 of this chapter  | <sup>13</sup> N/A |
| R. Possession of items or products containing radium-226 identified in § 31.12 of this chapter which exceed the number of items or limits specified in that section: <sup>14</sup><br>(1). Possession of quantities exceeding the number of items or limits in § 31.12(a)(4), or (5) of this chapter but less than or equal to 10 times the number of items or limits specified [Program Code(s): 02700]   | \$6,500           |
| (2). Possession of quantities exceeding 10 times the number of items or limits specified in § 31.12(a)(4) or (5) of this chapter [Program Code(s): 02710]  | \$6,800           |
| S. Licenses for production of accelerator-produced radionuclides [Program Code(s): 03210]  | \$26,300          |
| 4. Waste disposal and processing:<br>A. Licenses specifically authorizing the receipt of waste byproduct material, source material, or special nuclear material from other persons for the purpose of contingency storage or commercial land disposal by the licensee; or licenses authorizing contingency storage of low-level radioactive waste at the site of nuclear power reactors; or licenses for receipt of waste from other persons for incineration or other treatment, packaging of resulting waste and residues, and transfer of packages to another person authorized to receive or dispose of waste material. [Program Code(s): 03231, 03233, 03236, 06100, 06101] | \$20,000          |
| B. Licenses specifically authorizing the receipt of waste byproduct material, source material, or special nuclear material from other persons for the purpose of packaging or repackaging the material. The licensee will dispose of the material by transfer to another person authorized to receive or dispose of the material. [Program Code(s): 03234]   | \$15,600          |
| C. Licenses specifically authorizing the receipt of prepackaged waste byproduct material, source material, or special nuclear material from other persons. The licensee will dispose of the material by transfer to another person authorized to receive or dispose of the material. [Program Code(s): 03232]  | \$9,000           |
| 5. Well logging:<br>A. Licenses for possession and use of byproduct material, source material, and/or special nuclear material for well logging, well surveys, and tracer studies other than field flooding tracer studies. [Program Code(s): 03110, 03111, 03112]   | \$12,500          |
| B. Licenses for possession and use of byproduct material for field flooding tracer studies. [Program Code(s): 03113]   | <sup>5</sup> N/A  |
| 6. Nuclear laundries:<br>A. Licenses for commercial collection and laundry of items contaminated with byproduct material, source material, or special nuclear material. [Program Code(s): 03218]   | \$28,200          |
| 7. Medical licenses:<br>A. Licenses issued under parts 30, 35, 40, and 70 of this chapter for human use of byproduct material, source material, or special nuclear material in sealed sources contained in gamma stereotactic radiosurgery units, teletherapy devices, or similar beam therapy devices. This category also includes the possession and use of source material for shielding when authorized on the same license. <sup>9</sup> Number of locations of use: 1–5. [Program Code(s): 02300, 02310]   | \$28,900          |

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| <p>(1). Licenses issued under parts 30, 35, 40, and 70 of this chapter for human use of byproduct material, source material, or special nuclear material in sealed sources contained in gamma stereotactic radiosurgery units, teletherapy devices, or similar beam therapy devices. This category also includes the possession and use of source material for shielding when authorized on the same license.<sup>9</sup> Number of locations of use: 6–20. [Program Code(s): 04510, 04512]</p>   | \$38,500 |
| <p>(2). Licenses issued under parts 30, 35, 40, and 70 of this chapter for human use of byproduct material, source material, or special nuclear material in sealed sources contained in gamma stereotactic radiosurgery units, teletherapy devices, or similar beam therapy devices. This category also includes the possession and use of source material for shielding when authorized on the same license.<sup>9</sup> Number of locations of use: more than 20. [Program Code(s): 04511, 04513]</p>   | \$48,200 |
| <p>B. Licenses of broad scope issued to medical institutions or two or more physicians under parts 30, 33, 35, 40, and 70 of this chapter authorizing research and development, including human use of byproduct material, except licenses for byproduct material, source material, or special nuclear material in sealed sources contained in teletherapy devices. This category also includes the possession and use of source material for shielding when authorized on the same license.<sup>9</sup> Number of locations of use: 1–5. [Program Code(s): 02110]</p>            | \$42,500 |
| <p>(1). Licenses of broad scope issued to medical institutions or two or more physicians under parts 30, 33, 35, 40, and 70 of this chapter authorizing research and development, including human use of byproduct material, except licenses for byproduct material, source material, or special nuclear material in sealed sources contained in teletherapy devices. This category also includes the possession and use of source material for shielding when authorized on the same license.<sup>9</sup> Number of locations of use: 6–20. [Program Code(s): 04710]</p>         | \$56,500 |
| <p>(2). Licenses of broad scope issued to medical institutions or two or more physicians under parts 30, 33, 35, 40, and 70 of this chapter authorizing research and development, including human use of byproduct material, except licenses for byproduct material, source material, or special nuclear material in sealed sources contained in teletherapy devices. This category also includes the possession and use of source material for shielding when authorized on the same license.<sup>9</sup> Number of locations of use: more than 20. [Program Code(s): 04711]</p> | \$70,500 |
| <p>C. Other licenses issued under parts 30, 35, 40, and 70 of this chapter for human use of byproduct material, source material, and/or special nuclear material, except licenses for byproduct material, source material, or special nuclear material in sealed sources contained in teletherapy devices. This category also includes the possession and use of source material for shielding when authorized on the same license.<sup>9, 19</sup> Number of locations of use: 1–5. [Program Code(s): 02120, 02121, 02200, 02201, 02210, 02220, 02230, 02231, 02240, 22160]</p>  | \$18,100 |
| <p>(1). Other licenses issued under parts 30, 35, 40, and 70 of this chapter for human use of byproduct material, source material, and/or special nuclear material, except licenses for byproduct material, source material, or special nuclear material in sealed sources contained in teletherapy devices. This category also includes the</p>  |          |

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| possession and use of source material for shielding when authorized on the same license. <sup>9, 19</sup> Number of locations of use: 6–20. [Program Code(s): 04810, 04812, 04814, 04816, 04818, 04820, 04822, 04824, 04826, 04828]   | \$24,200                             |
| (2). Other licenses issued under parts 30, 35, 40, and 70 of this chapter for human use of byproduct material, source material, and/or special nuclear material, except licenses for byproduct material, source material, or special nuclear material in sealed sources contained in teletherapy devices. This category also includes the possession and use of source material for shielding when authorized on the same license. <sup>9, 19</sup> Number of locations of use: more than 20. [Program Code(s): 04811, 04813, 04815, 04817, 04819, 04821, 04823, 04825, 04827, 04829] | \$22,100                             |
| 8. Civil defense:<br>A. Licenses for possession and use of byproduct material, source material, or special nuclear material for civil defense activities. [Program Code(s): 03710]  | \$6,500                              |
| 9. Device, product, or sealed source safety evaluation:<br>A. Registrations issued for the safety evaluation of devices or products containing byproduct material, source material, or special nuclear material, except reactor fuel devices, for commercial distribution   | \$17,600                             |
| B. Registrations issued for the safety evaluation of devices or products containing byproduct material, source material, or special nuclear material manufactured in accordance with the unique specifications of, and for use by, a single applicant, except reactor fuel devices  | \$9,000                              |
| C. Registrations issued for the safety evaluation of sealed sources containing byproduct material, source material, or special nuclear material, except reactor fuel, for commercial distribution   | \$5,300                              |
| D. Registrations issued for the safety evaluation of sealed sources containing byproduct material, source material, or special nuclear material, manufactured in accordance with the unique specifications of, and for use by, a single applicant, except reactor fuel  | \$1,000                              |
| 10. Transportation of radioactive material:<br>A. Certificates of Compliance or other package approvals issued for design of casks, packages, and shipping containers.<br>1. Spent Fuel, High-Level Waste, and plutonium air packages<br>2. Other Casks   | <sup>6</sup> N/A<br><sup>6</sup> N/A |
| B. Quality assurance program approvals issued under part 71 of this chapter.<br>1. Users and Fabricators<br>2. Users  | <sup>6</sup> N/A<br><sup>6</sup> N/A |
| C. Evaluation of security plans, route approvals, route surveys, and transportation security devices (including immobilization devices)   | <sup>6</sup> N/A                     |
| 11. Standardized spent fuel facilities  | <sup>6</sup> N/A                     |
| 12. Special Projects [Program Code(s): 25110]   | <sup>6</sup> N/A                     |
| 13. A. Spent fuel storage cask Certificate of Compliance  | <sup>6</sup> N/A                     |
| B. General licenses for storage of spent fuel under § 72.210 of this chapter  | <sup>12</sup> N/A                    |
| 14. Decommissioning/Reclamation:<br>A. Byproduct, source, or special nuclear material licenses and other approvals authorizing decommissioning, decontamination, reclamation, or site restoration activities under parts 30, 40, 70, 72, and 76 of this chapter, including master materials licenses (MMLs). The transition to this fee category occurs when a licensee has permanently ceased principal activities. [Program Code(s): 03900, 11900, 21135, 21215, 21325, 22200]  | <sup>7, 20</sup> N/A                 |

|  |                           |
|--|---------------------------|
| B. Site-specific decommissioning activities associated with unlicensed sites, including MMLs, whether or not the sites have been previously licensed | <sup>7</sup> N/A          |
| 15. Import and Export licenses   | <sup>8</sup> N/A          |
| 16. Reciprocity  | <sup>8</sup> N/A          |
| 17. Master materials licenses of broad scope issued to Government agencies. <sup>15</sup> [Program Code(s): 03614]                                   | \$352,000                 |
| 18. Department of Energy:<br>A. Certificates of Compliance   | <sup>10</sup> \$1,733,000 |
| B. Uranium Mill Tailings Radiation Control Act (UMTRCA) activities [Program Code(s): 03237, 03238]   | \$119,000                 |

<sup>1</sup>Annual fees will be assessed based on whether a licensee held a valid license with the NRC authorizing possession and use of radioactive material during the current FY. The annual fee is waived for those materials licenses and holders of certificates, registrations, and approvals who either filed for termination of their licenses or approvals or filed for possession only/storage licenses before October 1 of the current FY, and permanently ceased licensed activities entirely before this date. Annual fees for licensees who filed for termination of a license, downgrade of a license, or for a possession-only license during the FY and for new licenses issued during the FY will be prorated in accordance with the provisions of §171.17. If a person holds more than one license, certificate, registration, or approval, the annual fee(s) will be assessed for each license, certificate, registration, or approval held by that person. For licenses that authorize more than one activity on a single license (e.g., human use and irradiator activities), annual fees will be assessed for each category applicable to the license.

<sup>2</sup>Payment of the prescribed annual fee does not automatically renew the license, certificate, registration, or approval for which the fee is paid. Renewal applications must be filed in accordance with the requirements of parts 30, 40, 70, 71, 72, or 76 of this chapter.

<sup>3</sup>Each FY, fees for these materials licenses will be calculated and assessed in accordance with § 171.13 and will be published in the Federal Register for notice and comment.

<sup>4</sup>Other facilities include licenses for extraction of metals, heavy metals, and rare earths.

<sup>5</sup>There are no existing NRC licenses in these fee categories. If NRC issues a license for these categories, the Commission will consider establishing an annual fee for this type of license.

<sup>6</sup>Standardized spent fuel facilities, 10 CFR parts 71 and 72 Certificates of Compliance and related Quality Assurance program approvals, and special reviews, such as topical reports, are not assessed an annual fee because the generic costs of regulating these activities are primarily attributable to users of the designs, certificates, and topical reports.

<sup>7</sup>Licensees in this category are not assessed an annual fee because they are charged an annual fee in other categories while they are licensed to operate.

<sup>8</sup>No annual fee is charged because it is not practical to administer due to the relatively short life or temporary nature of the license.

<sup>9</sup>Separate annual fees will not be assessed for pacemaker licenses issued to medical institutions that also hold nuclear medicine licenses under fee categories 7.A, 7.A.1, 7.A.2, 7.B., 7.B.1, 7.B.2, 7.C, 7.C.1, or 7.C.2.

<sup>10</sup>This includes Certificates of Compliance issued to the DOE that are not funded from the Nuclear Waste Fund.

<sup>11</sup>See § 171.15(c).

<sup>12</sup>See § 171.15(c).

<sup>13</sup>No annual fee is charged for this category because the cost of the general license registration program applicable to licenses in this category will be recovered through 10 CFR part 170 fees.

<sup>14</sup>Persons who possess radium sources that are used for operational purposes in another fee category are not also subject to the fees in this category. (This exception does not apply if the radium sources are possessed for storage only.)

<sup>15</sup>Licensees subject to fees under categories 1.A., 1.B., 1.E., 2.A., and licensees paying fees under fee category 17 must pay the largest applicable fee and are not subject to additional fees listed in this table.

<sup>16</sup>Licensees paying fees under 3.C. are not subject to fees under 2.B. for possession and shielding authorized on the same license.

<sup>17</sup>Licensees paying fees under 7.C. are not subject to fees under 2.B. for possession and shielding authorized on the same license.

<sup>18</sup>Licensees paying fees under 3.N. are not subject to paying fees under 3.P., 3.P.1, or 3.P.2 for calibration or leak testing services authorized on the same license.

<sup>19</sup>Licensees paying fees under 7.B., 7.B.1, or 7.B.2 are not subject to paying fees under 7.C., 7.C.1, or 7.C.2 for broad scope license licenses issued under parts 30, 35, 40, and 70 of this chapter for human use of byproduct material, source material, and/or special nuclear material, except licenses for byproduct material, source material, or special nuclear material in sealed sources contained in teletherapy devices authorized on the same license.

<sup>20</sup>No annual fee is charged for a materials license (or part of a materials license) that has transitioned to this fee category because the decommissioning costs will be recovered through 10 CFR part 170 fees, but annual fees may be charged for other activities authorized under the license that are not in decommissioning status.

<sup>21</sup>Licensees paying fees under 4.A., 4.B. or 4.C. are not subject to paying fees under 3.N. licenses that authorize services for other licensees authorized on the same license.

Dated: February 21, 2023.

For the Nuclear Regulatory Commission.

**James C. Corbett,**

*Acting Chief Financial Officer.*

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